

# Indian Institute of Information Technology, Allahabad



## Image Classifiers

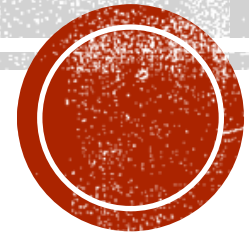
By

**Dr. Satish Kumar Singh & Dr. Shiv Ram Dubey**

Computer Vision and Biometrics Lab

Department of Information Technology

Indian Institute of Information Technology, Allahabad



# TEAM

**Computer Vision and Biometrics Lab (CVBL)**

**Department of Information Technology**

**Indian Institute of Information Technology Allahabad**

## **Course Instructors**

Dr. Satish Kumar Singh, Associate Professor, IIIT Allahabad (Email: [sk.singh@iiita.ac.in](mailto:sk.singh@iiita.ac.in))

Dr. Shiv Ram Dubey, Assistant Professor, IIIT Allahabad (Email: [srdubey@iiita.ac.in](mailto:srdubey@iiita.ac.in))



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# PREVIOUS CLASS

## Image features and categorization

Choosing right features

Object, Scene, Action, etc.



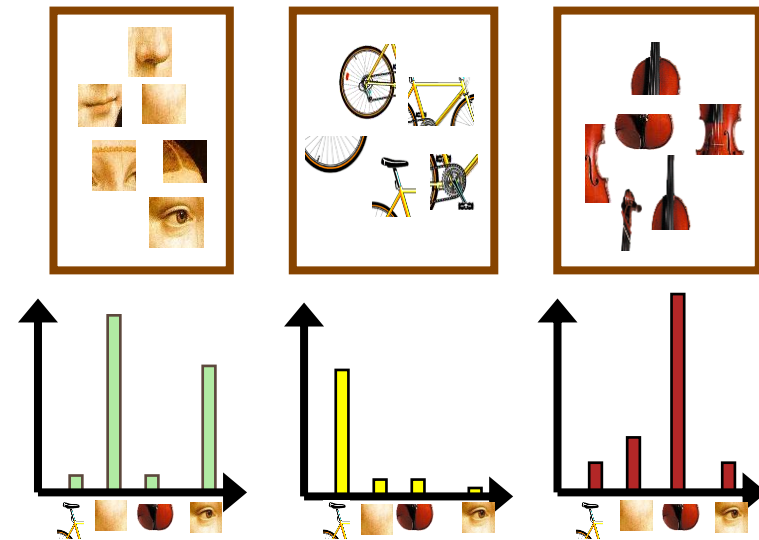
## Bag-of-visual-words

Extract local features

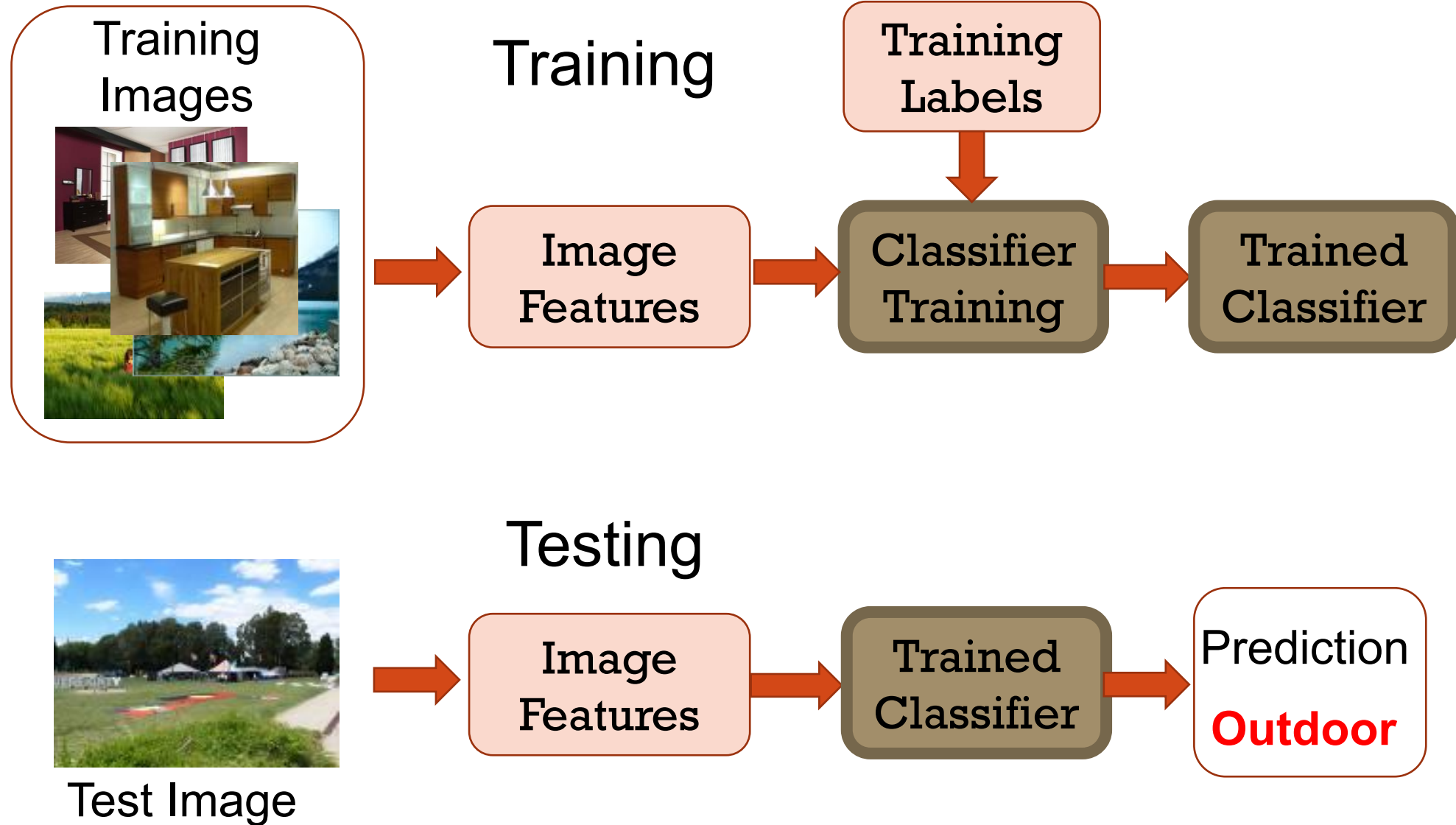
Learn “visual vocabulary”

Quantize features using visual vocabulary

Represent by frequencies of “visual words”



# TODAY'S CLASS



# TODAY'S CLASS

K - Nearest Neighbor Classifier

Linear Classifier

Support Vector Machine

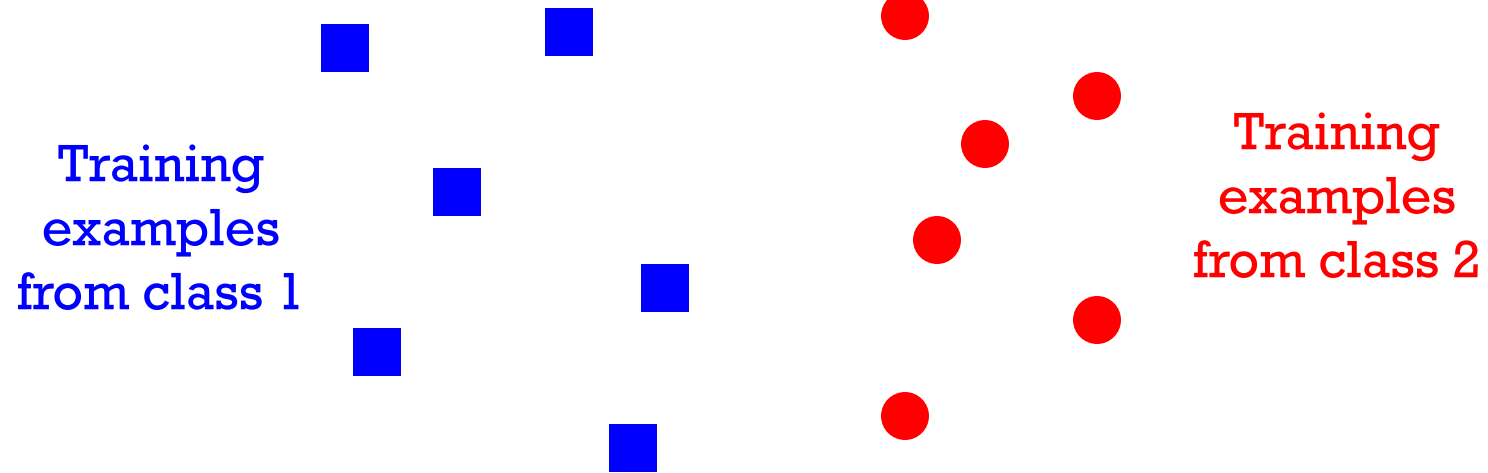
Non-linear SVM

Multi-class SVM

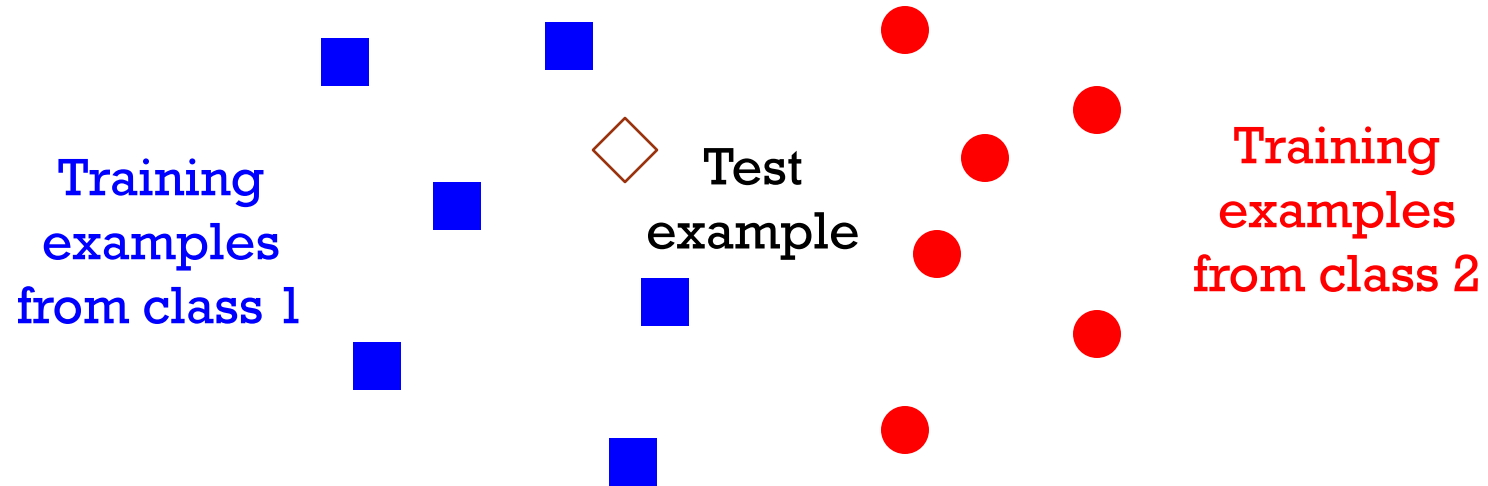
Softmax Classifier



# CLASSIFIERS: NEAREST NEIGHBOR

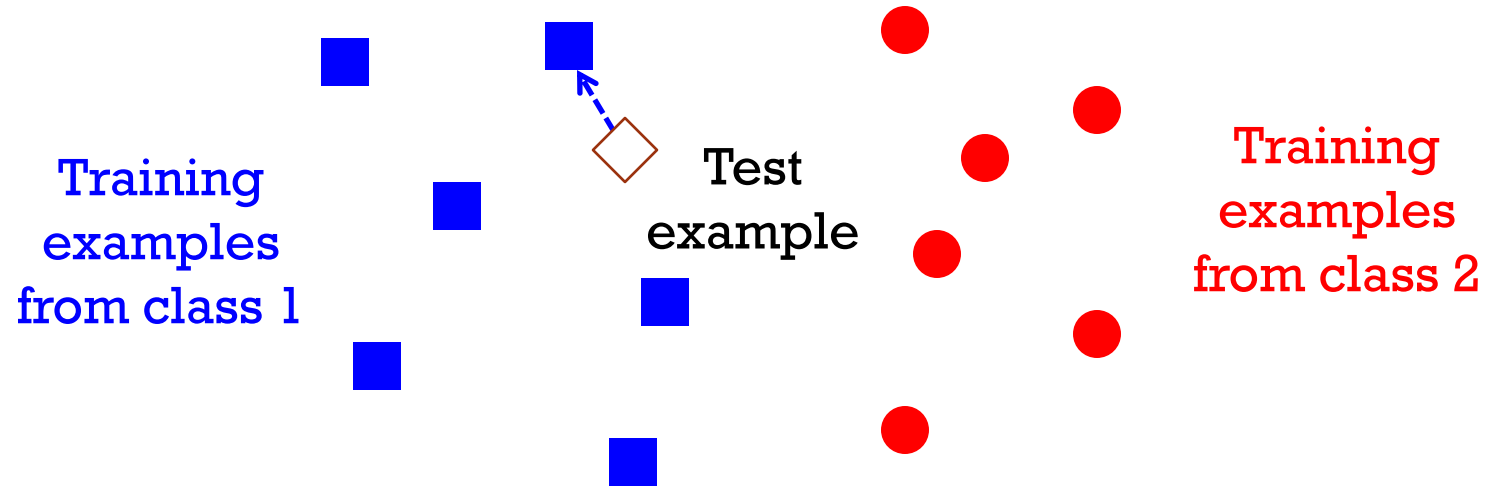


# CLASSIFIERS: NEAREST NEIGHBOR

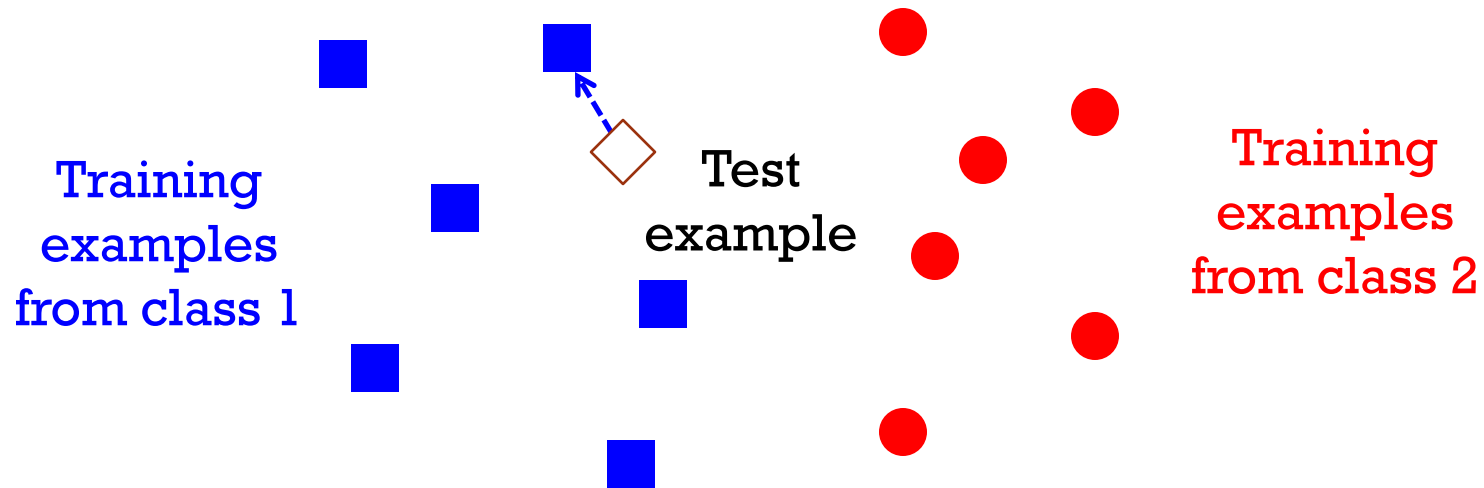




# CLASSIFIERS: NEAREST NEIGHBOR



# CLASSIFIERS: NEAREST NEIGHBOR



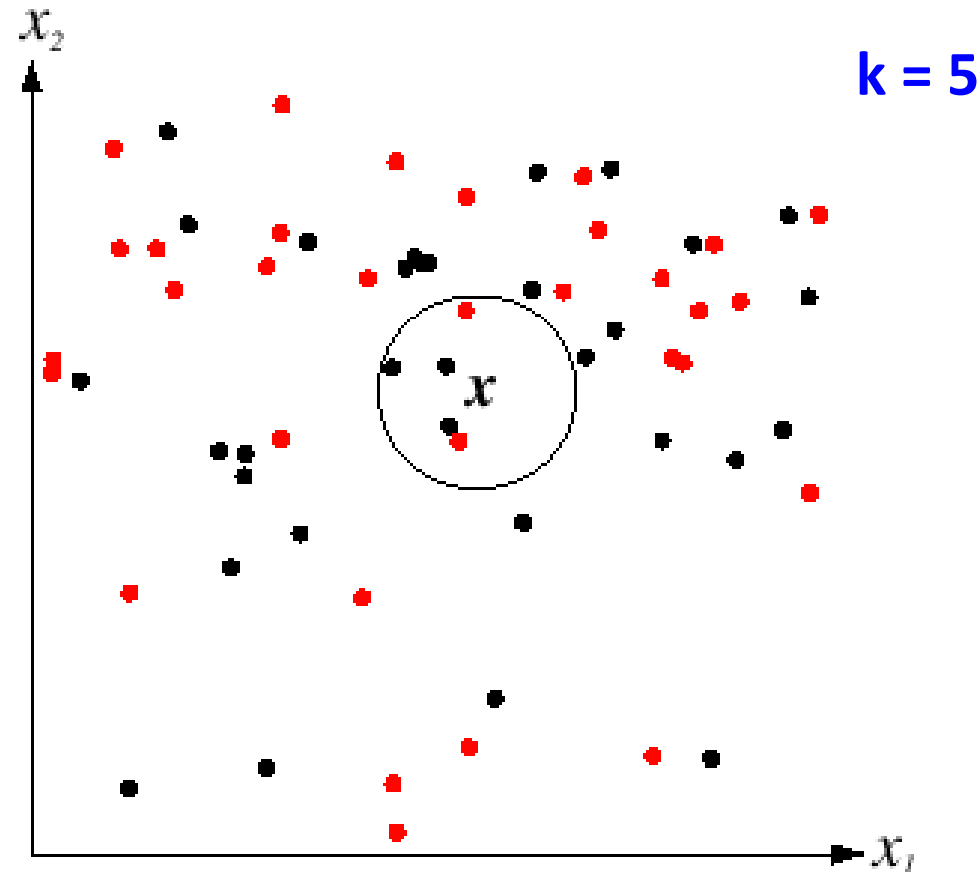
$f(\mathbf{x}) = \text{label of the training example nearest to } \mathbf{x}$

- All we need is a distance function for our inputs
- No training required!



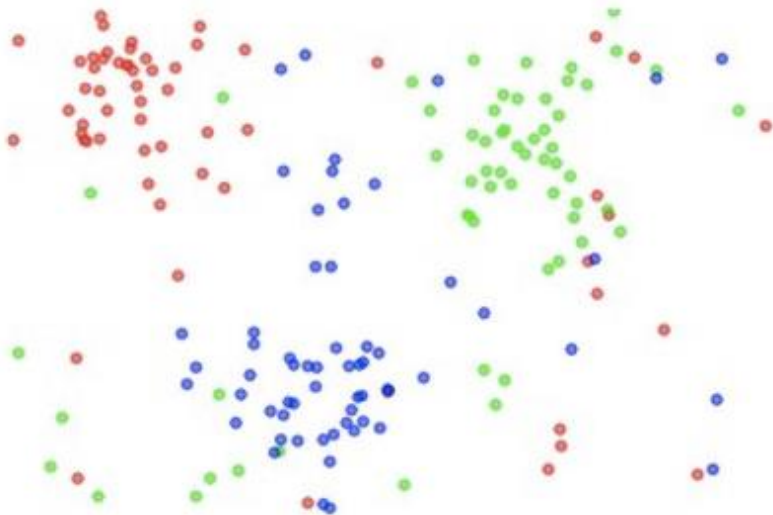
# K-NEAREST NEIGHBOR CLASSIFIER

- For a new point, find the  $k$  closest points from training data
- Vote for class label with labels of the  $k$  points



# K-NEAREST NEIGHBOR CLASSIFIER

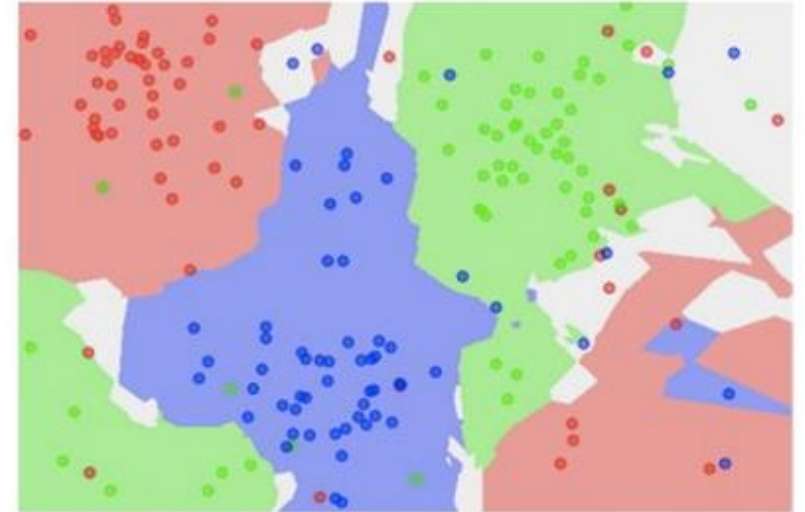
the data



NN classifier

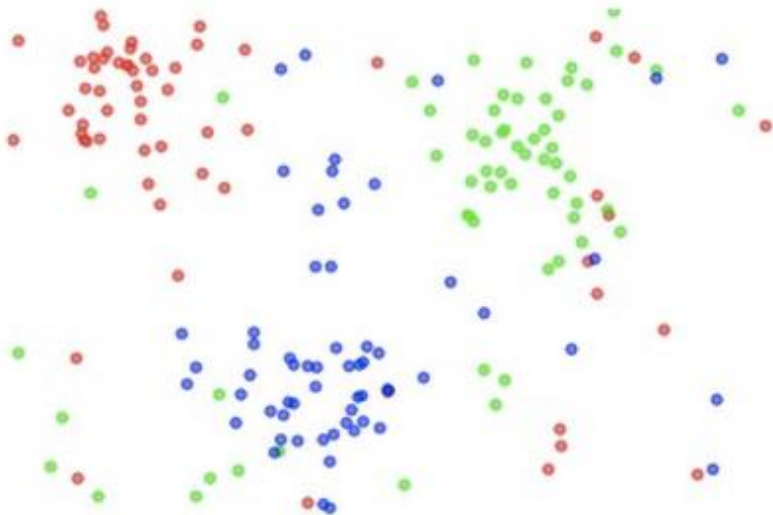


5-NN classifier

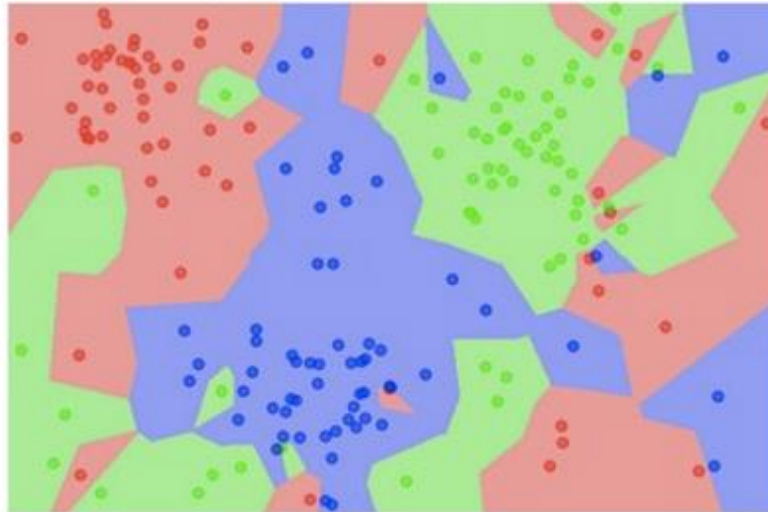


# K-NEAREST NEIGHBOR CLASSIFIER

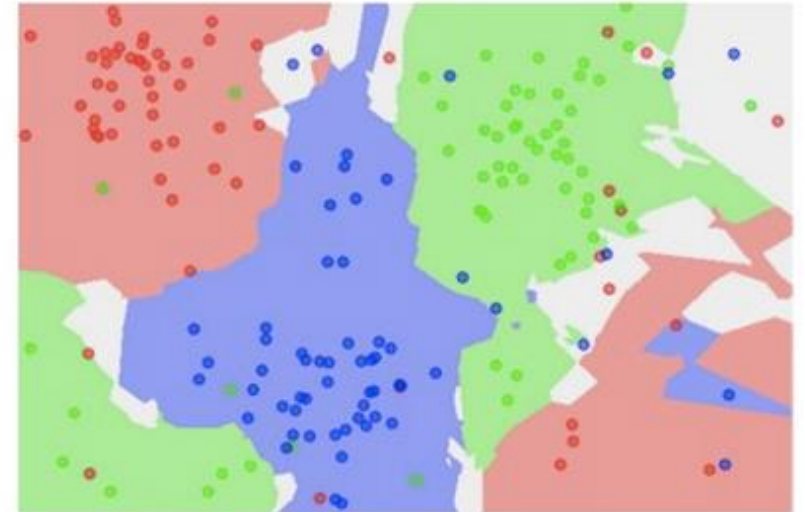
the data



NN classifier



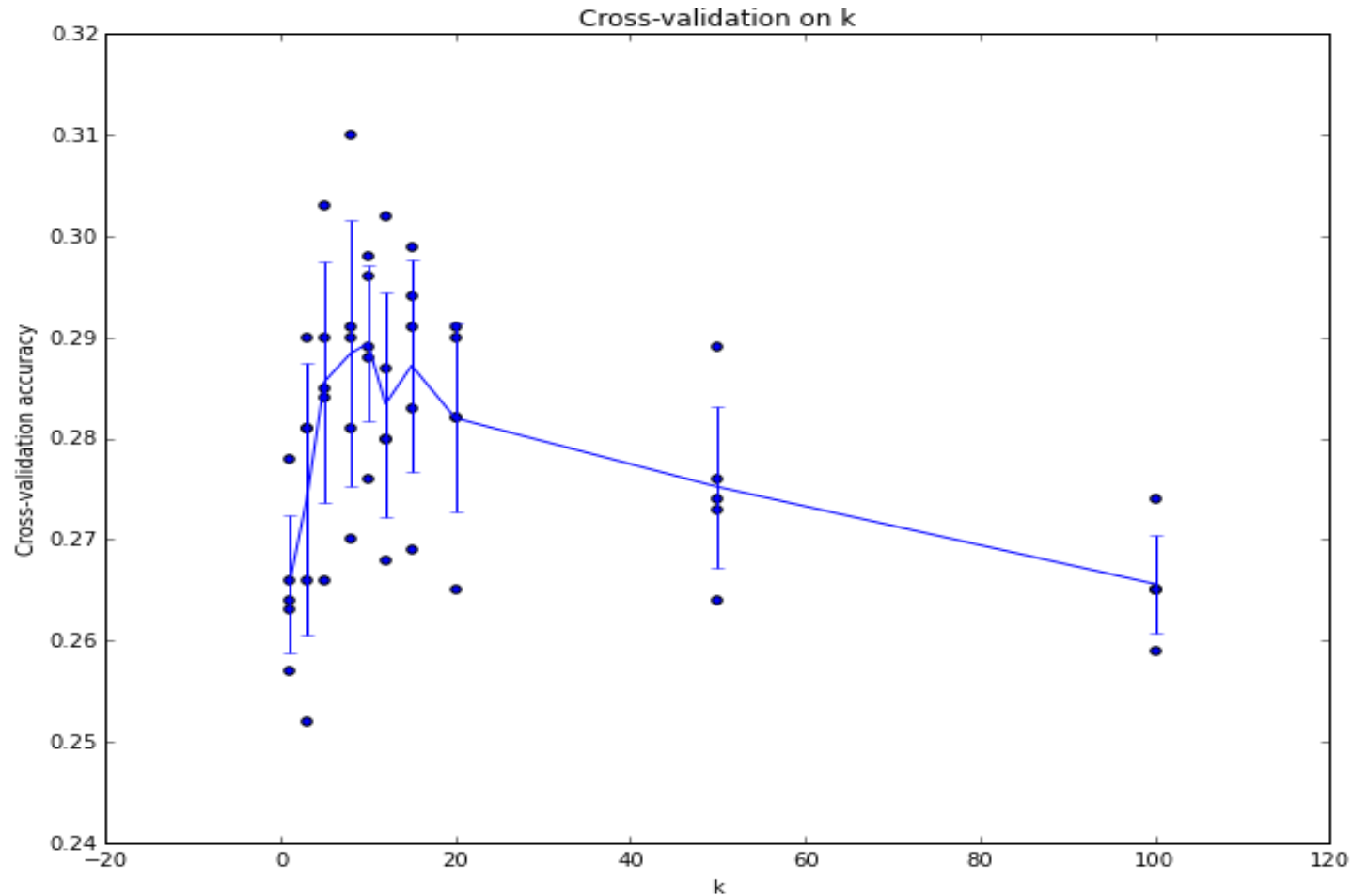
5-NN classifier



- Which classifier is more robust to *outliers*?



# CHOICE OF K IN KNN CLASSIFIER



Credit: cs231n,  
<http://cs231n.github.io/classification/>

Example of a 5-fold cross-validation run for the parameter  $k$ . Note that in this particular case, the cross-validation suggests that a value of about  $k = 7$  works best on this particular CIFAR10 dataset (corresponding to the peak in the plot).



# CLASSIFIERS: K-NEAREST NEIGHBOR

“Non-parametric” classifier: the entire training set is essentially the model parameters.



# CLASSIFIERS: K-NEAREST NEIGHBOR

“Non-parametric” classifier: the entire training set is essentially the model parameters.

## Pros:

- **Very fast at training** time
- **Flexible**: all it requires is a way to compute similarity or distances between pairs of features. Applies to many different kinds of features.
- Works with **any number of classes**.
- Works well in practice for large datasets (but see cons)





# CLASSIFIERS: K-NEAREST NEIGHBOR

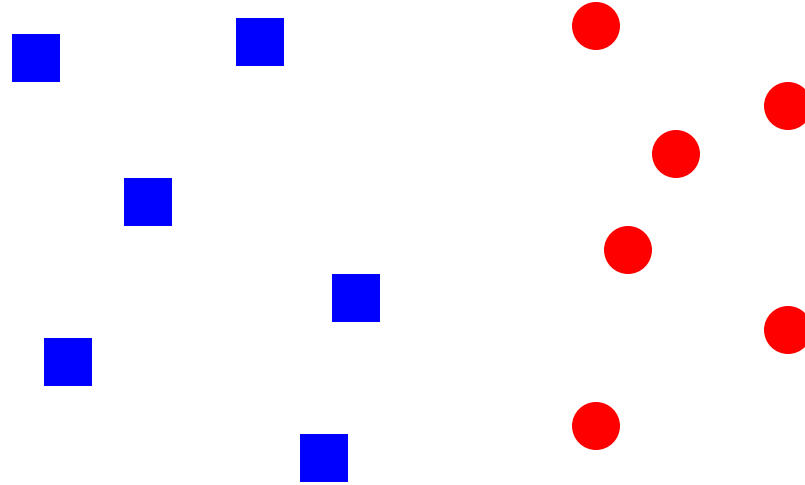
“Non-parametric” classifier: the entire training set is essentially the model parameters.

## Cons:

- The classifier must ***remember all of the training data*** and store it for future comparisons with the test data.
- This is **space inefficient** because datasets may easily be gigabytes in size.
- **Slow at test time** (need to compute distances between test example and every training example)
- Optimum value of **K is not known**.



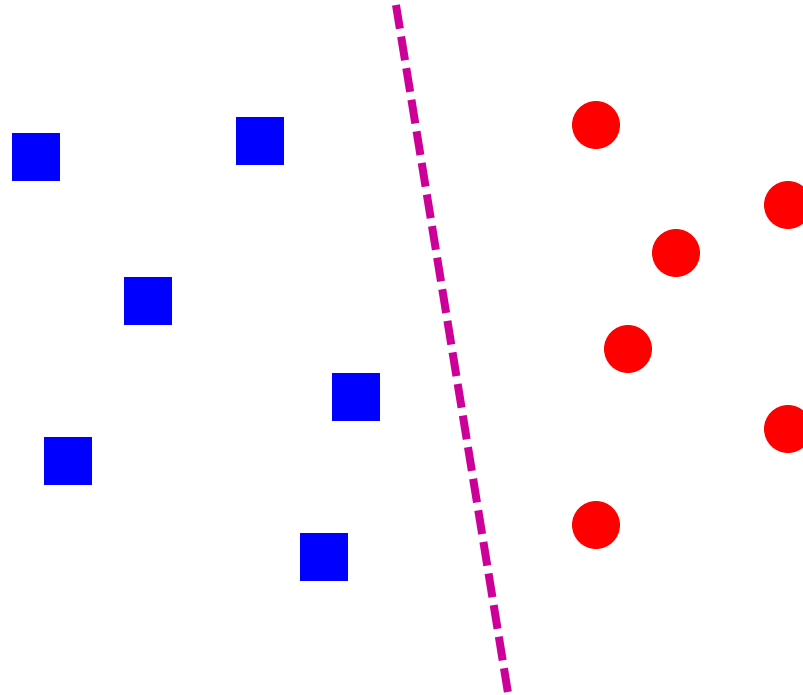
# LINEAR CLASSIFIERS — 2 CLASS PROBLEM



- Find a *linear function* to separate the classes:



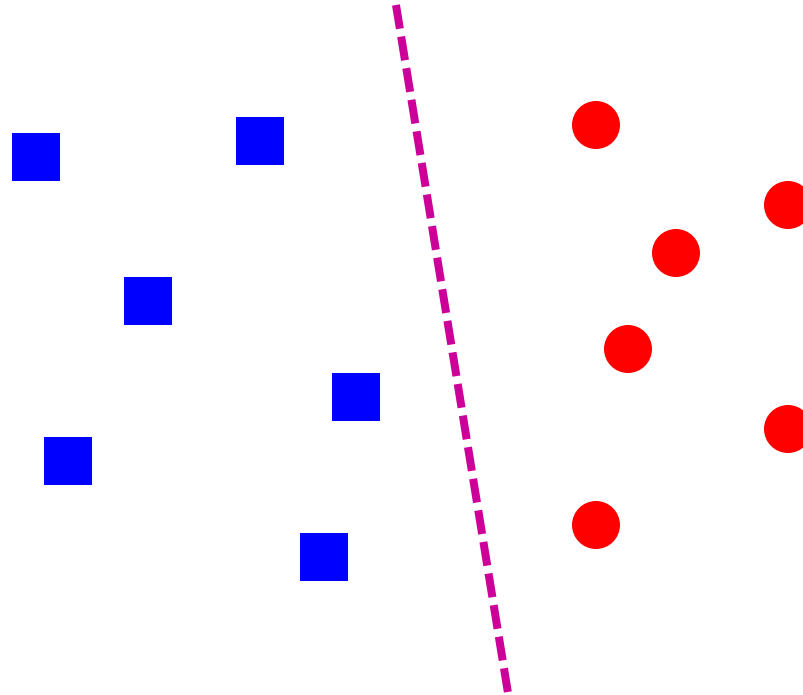
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# LINEAR CLASSIFIERS – 2 CLASS PROBLEM

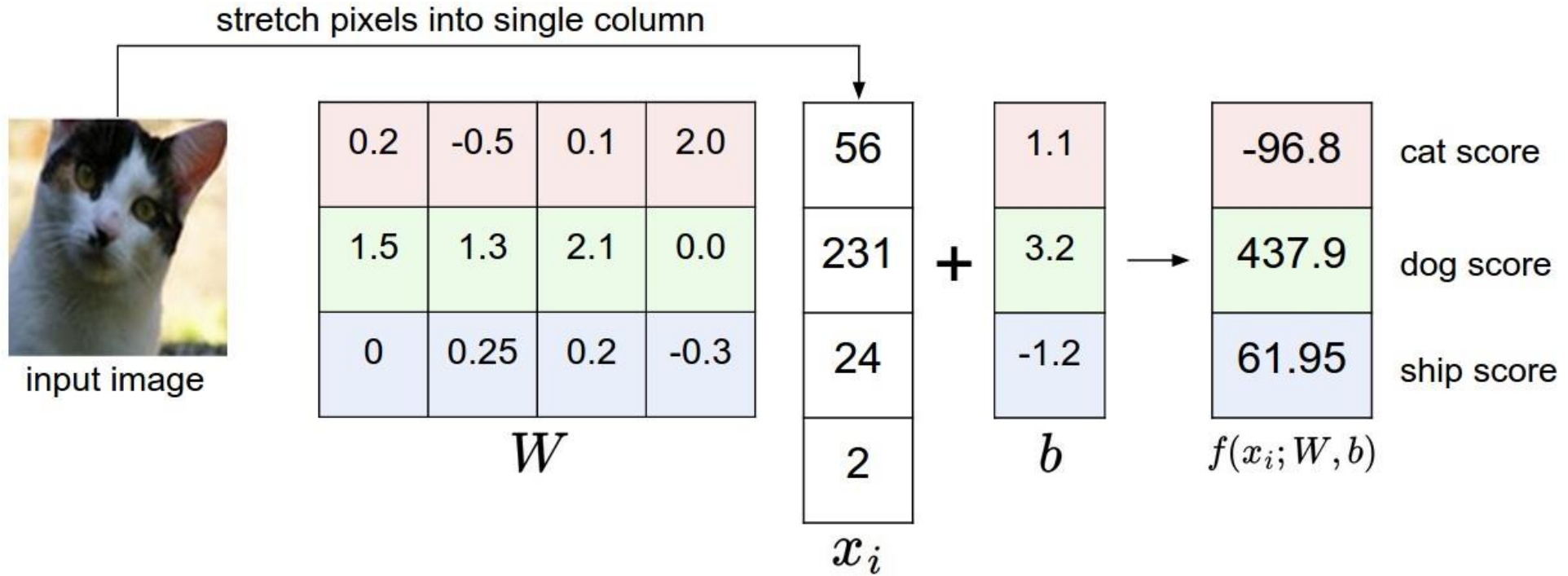


- Find a *linear function* to separate the classes:

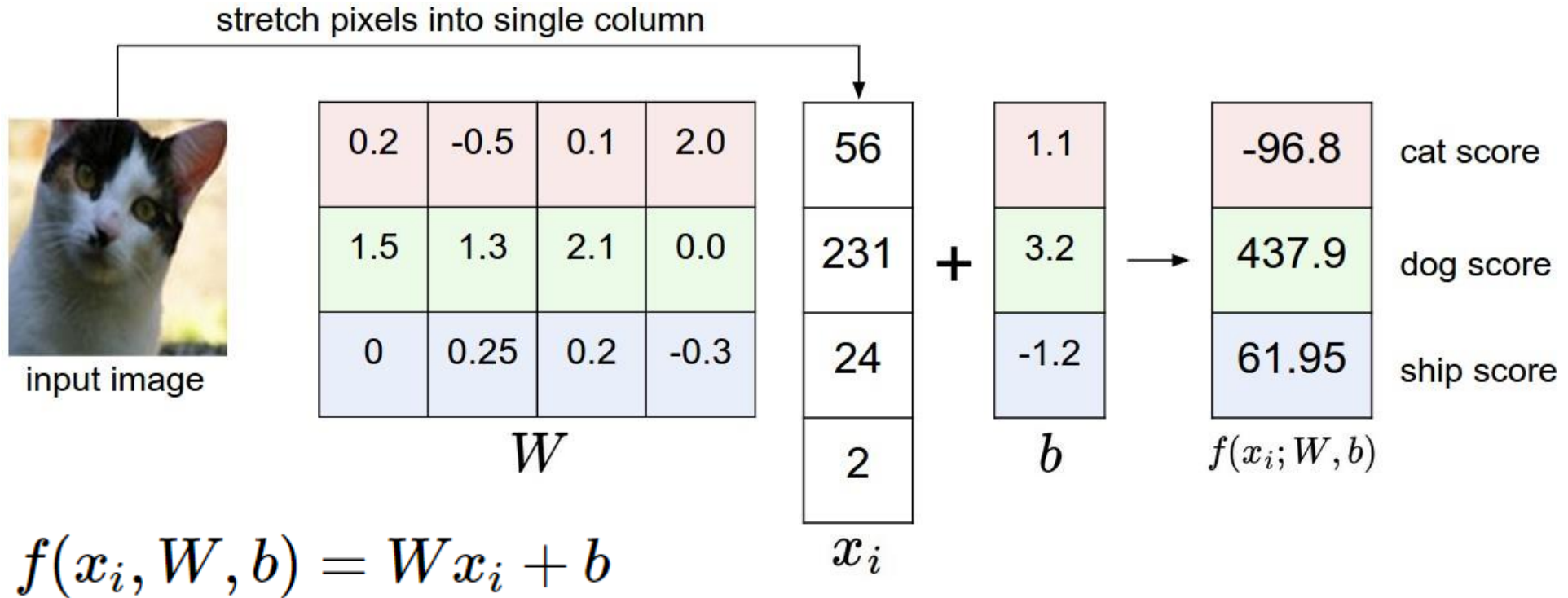
$$f(\mathbf{x}) = \text{sign}(\mathbf{w} \cdot \mathbf{x} + b)$$



# LINEAR CLASSIFIERS — MORE THAN 2 CLASS



# LINEAR CLASSIFIERS – MORE THAN 2 CLASS



# LINEAR CLASSIFIERS – MORE THAN 2 CLASS

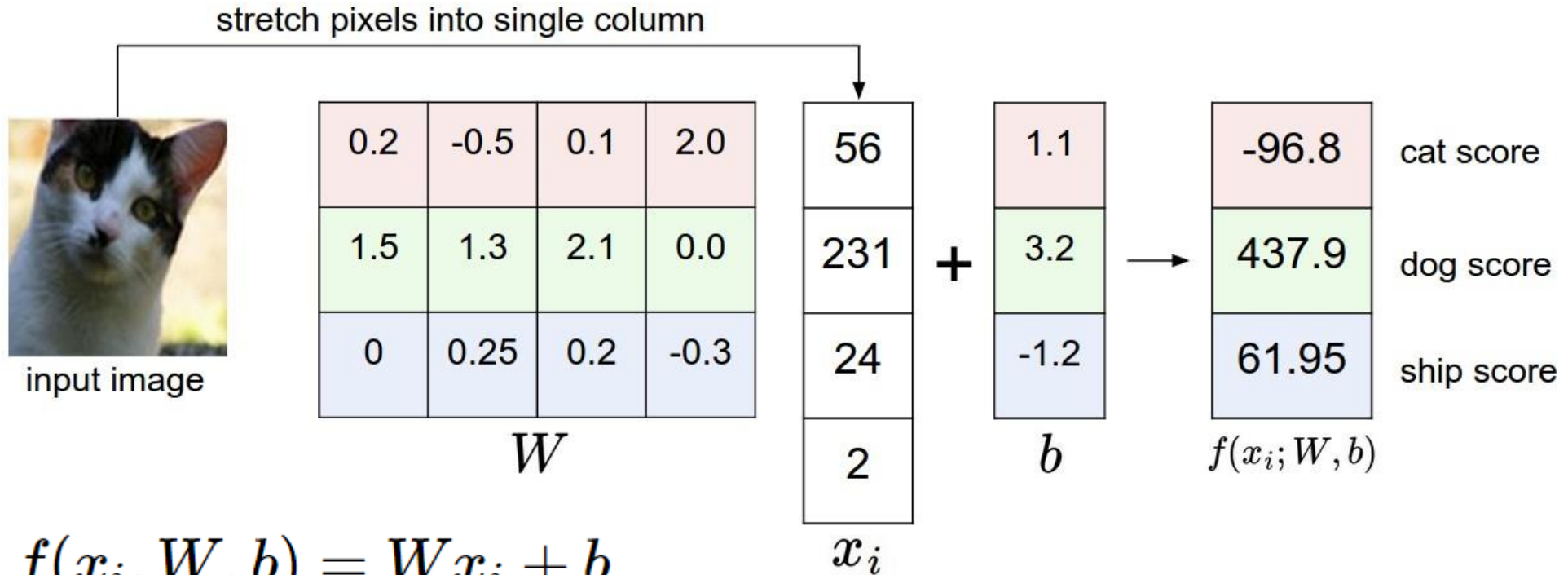


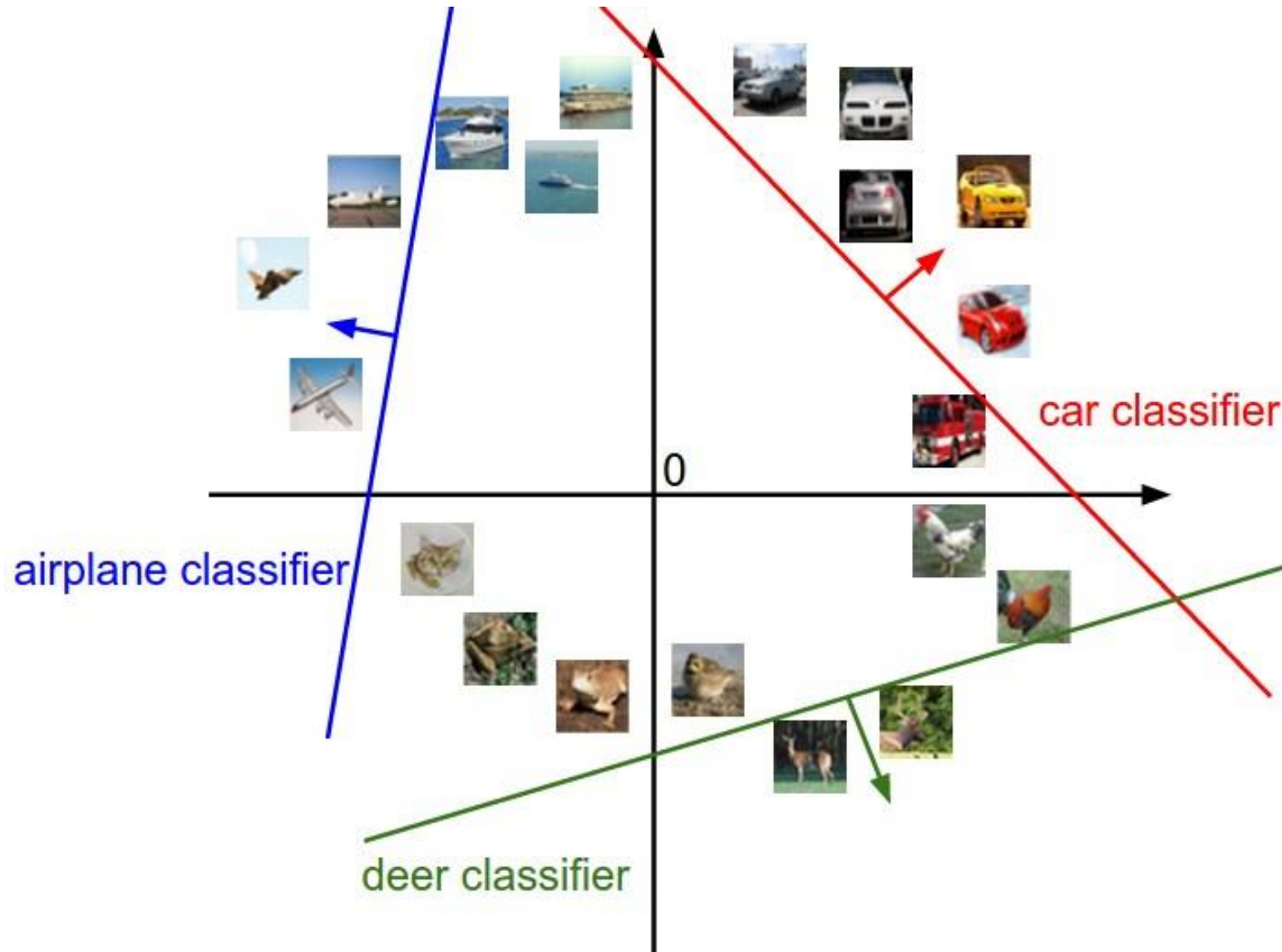
Image  $x_i$  has all of its pixels flattened out to a single column vector of shape  $[D \times 1]$ .

Matrix  $W$  (of size  $[K \times D]$ ), and vector  $b$  (of size  $[K \times 1]$ ) are the **parameters**.

$K$  is the number of classes.



# ANALOGY OF IMAGES AS HIGH-DIMENSIONAL POINTS



Source: cs231n, <http://cs231n.github.io/linear-classify/>





# INTERPRETATION OF LINEAR CLASSIFIERS AS TEMPLATE MATCHING

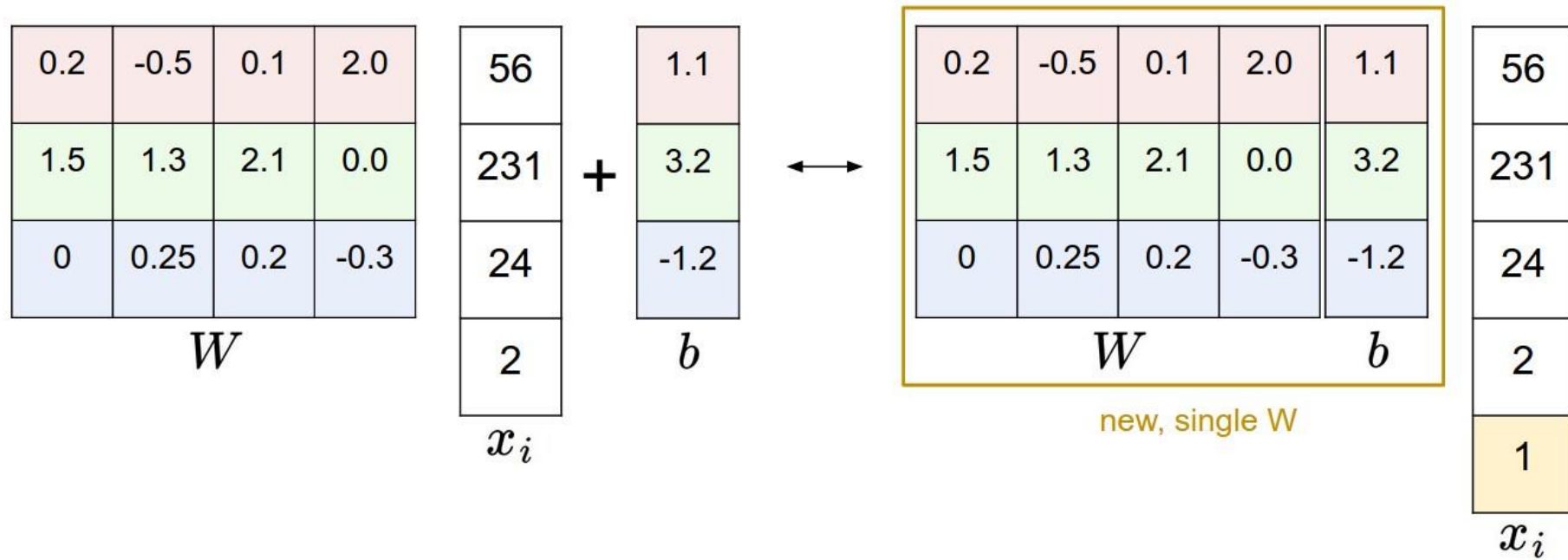


Example learned weights at the end of learning for CIFAR-10. Note that, for example, the ship template contains a lot of blue pixels as expected. This template will therefore give a high score once it is matched against images of ships on the ocean with an inner product.

Source: cs231n, <http://cs231n.github.io/linear-classify/>



# BIAS TRICK



# LINEAR CLASSIFIERS

“Parametric” classifier: model defined by a small number of parameters ( $w, b$ )

## **Pros:**

- Very fast at test time

## **Cons:**

- Slow at training time: need to estimate the parameters
- Data may not be linearly separable



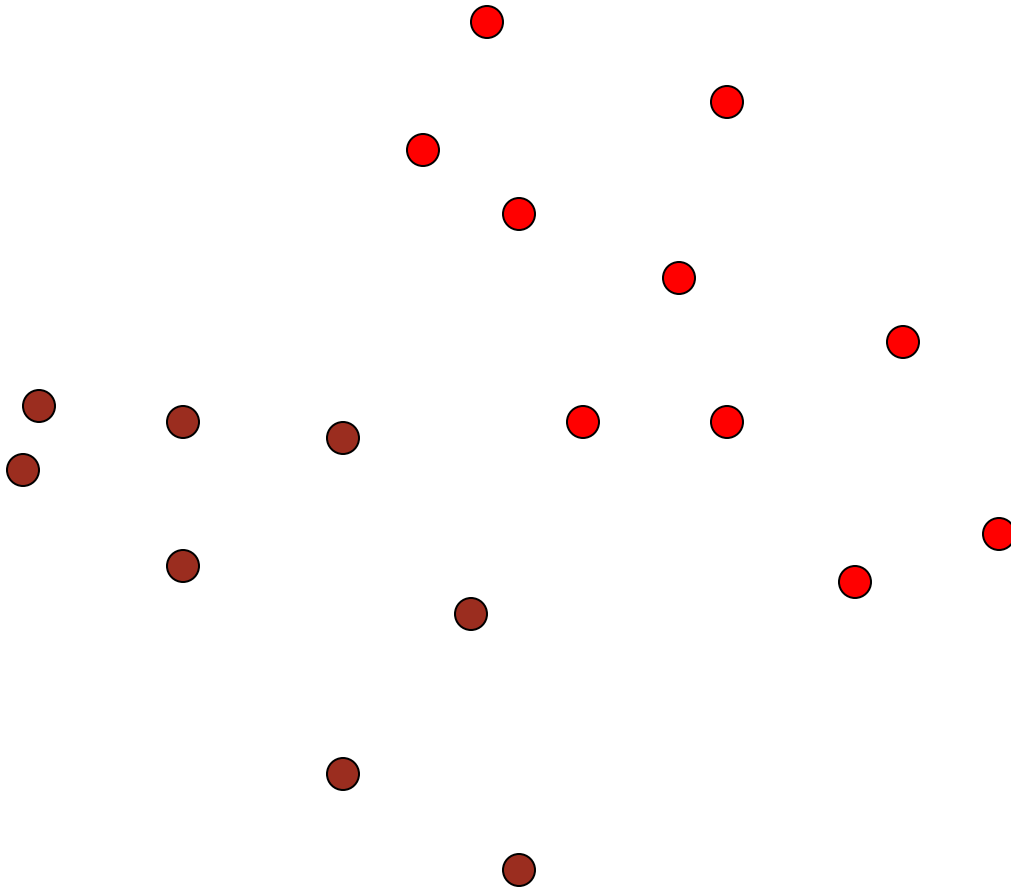
# NEAREST NEIGHBOR VS. LINEAR CLASSIFIERS

- **NN pros:**
  - Simple to implement
  - Decision boundaries not necessarily linear
  - Works for any number of classes
  - *Nonparametric* method
- **NN cons:**
  - Need good distance function
  - Slow at test time, Memory in-efficient
- **Linear pros:**
  - Low-dimensional *parametric* representation
  - Very fast at test time
- **Linear cons:**
  - How to train the linear function?
  - What if data is not linearly separable?



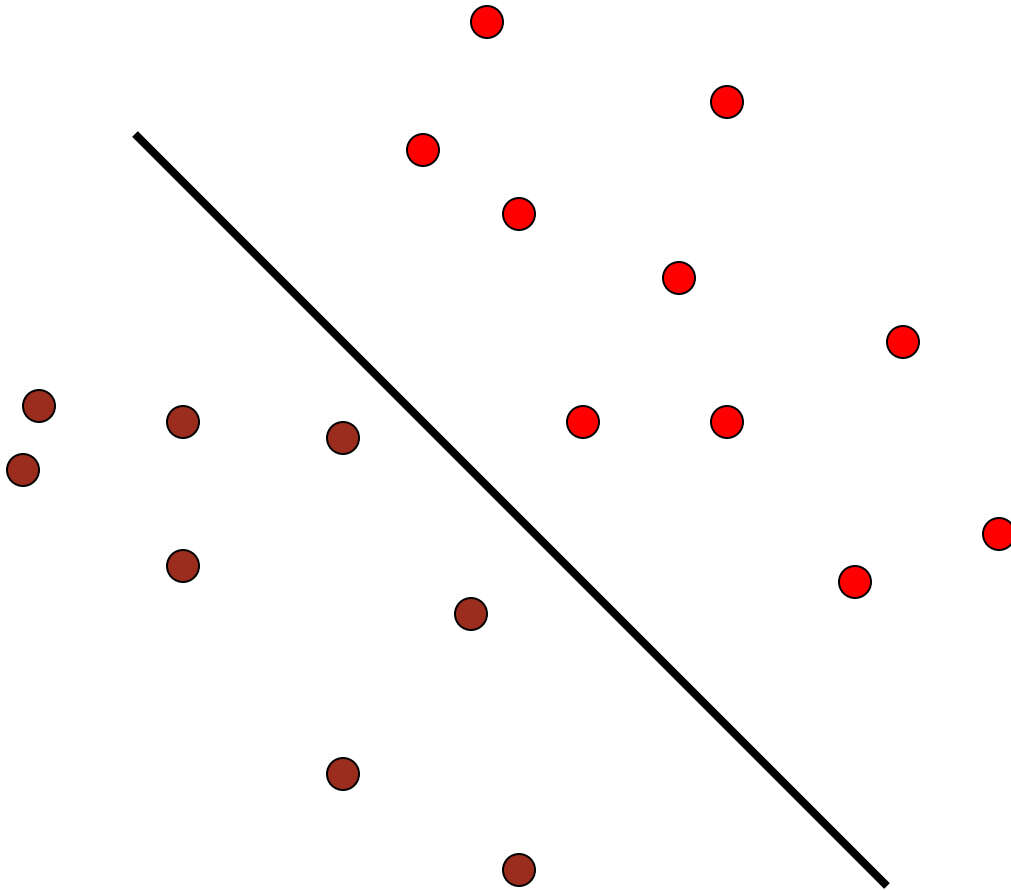
# SUPPORT VECTOR MACHINES

- When the data is linearly separable, there may be more than one separator (hyperplane)



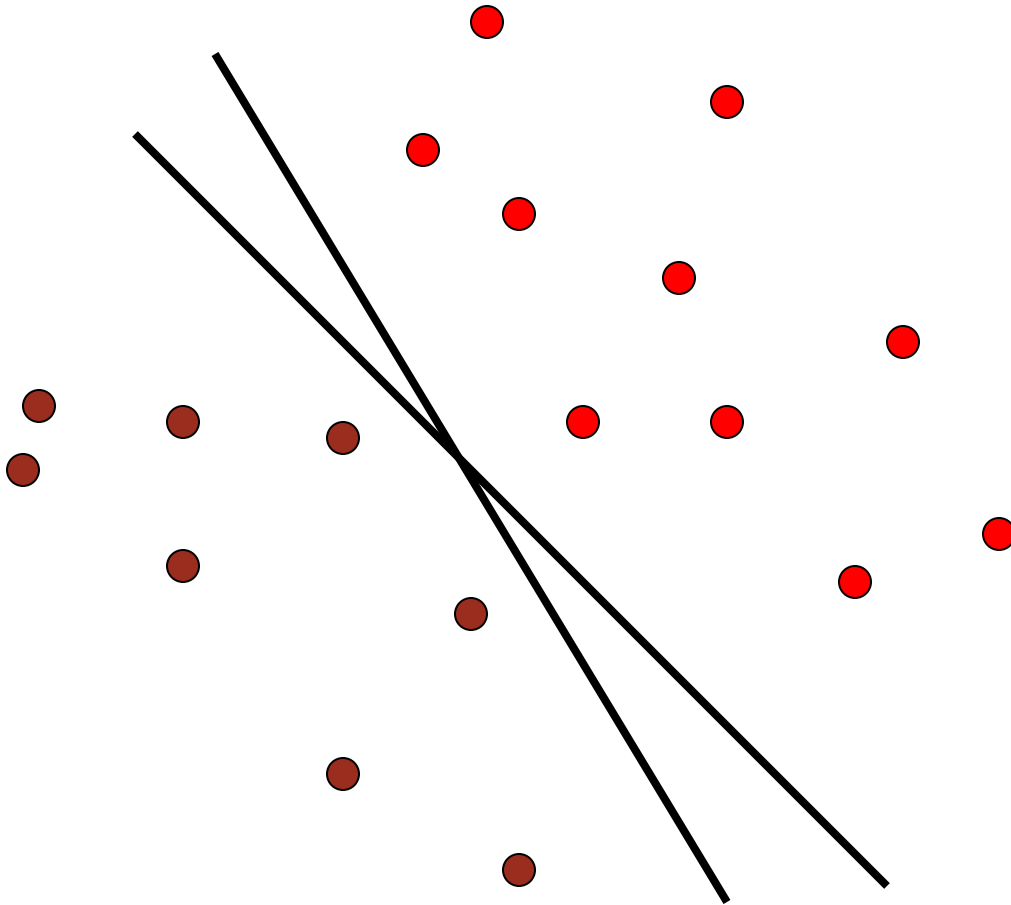
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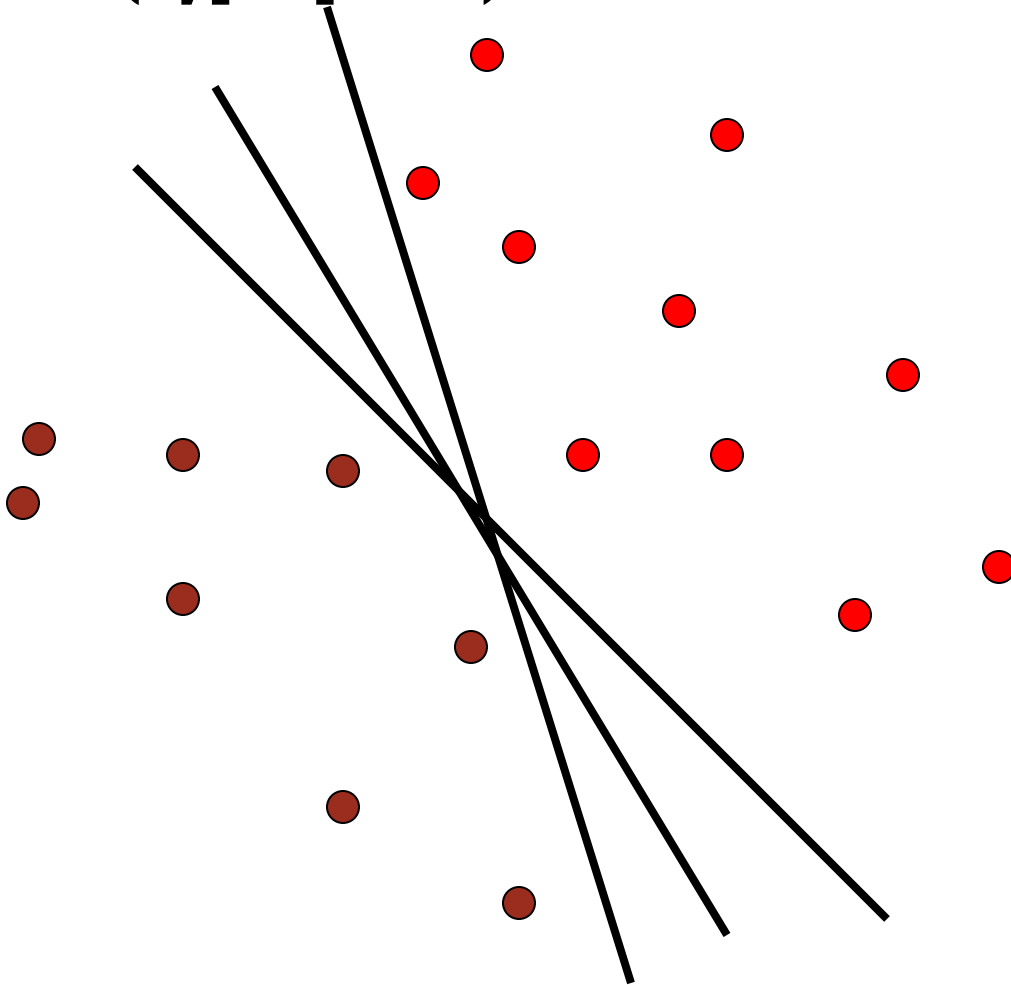
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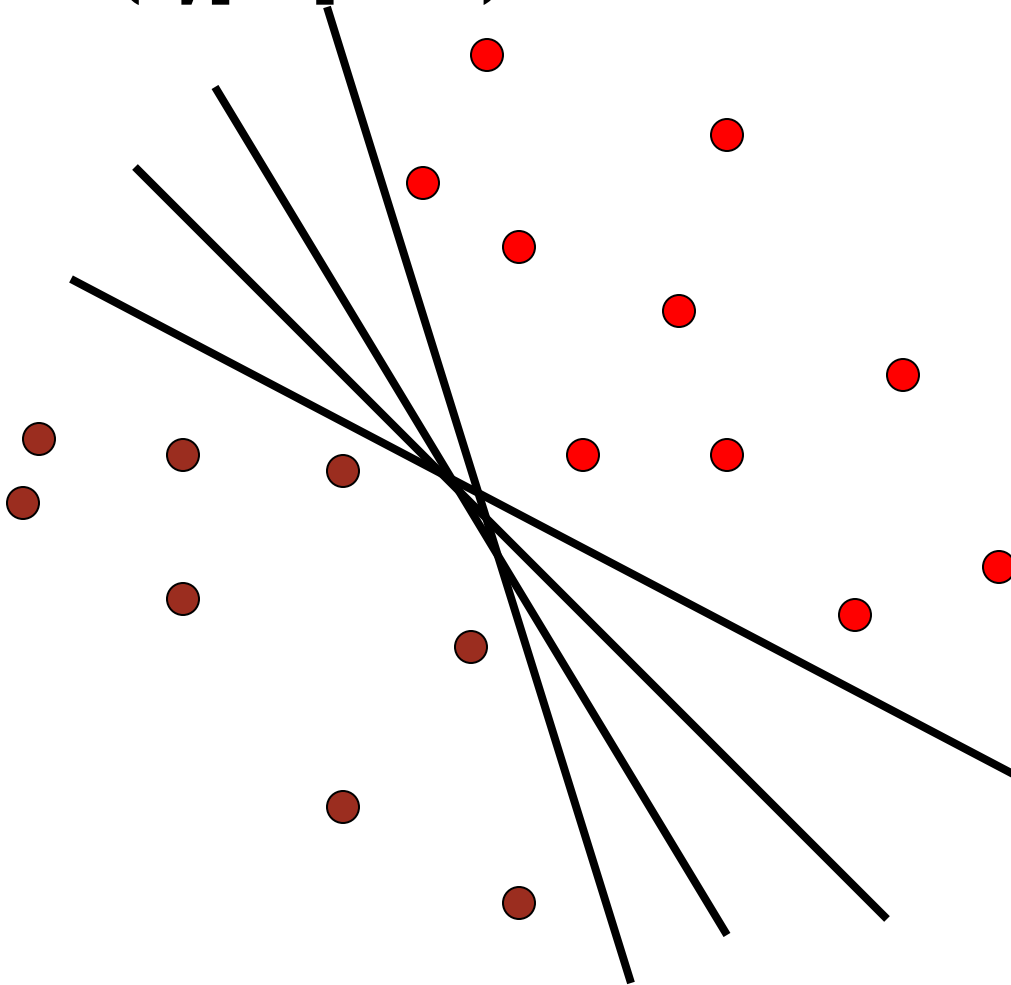
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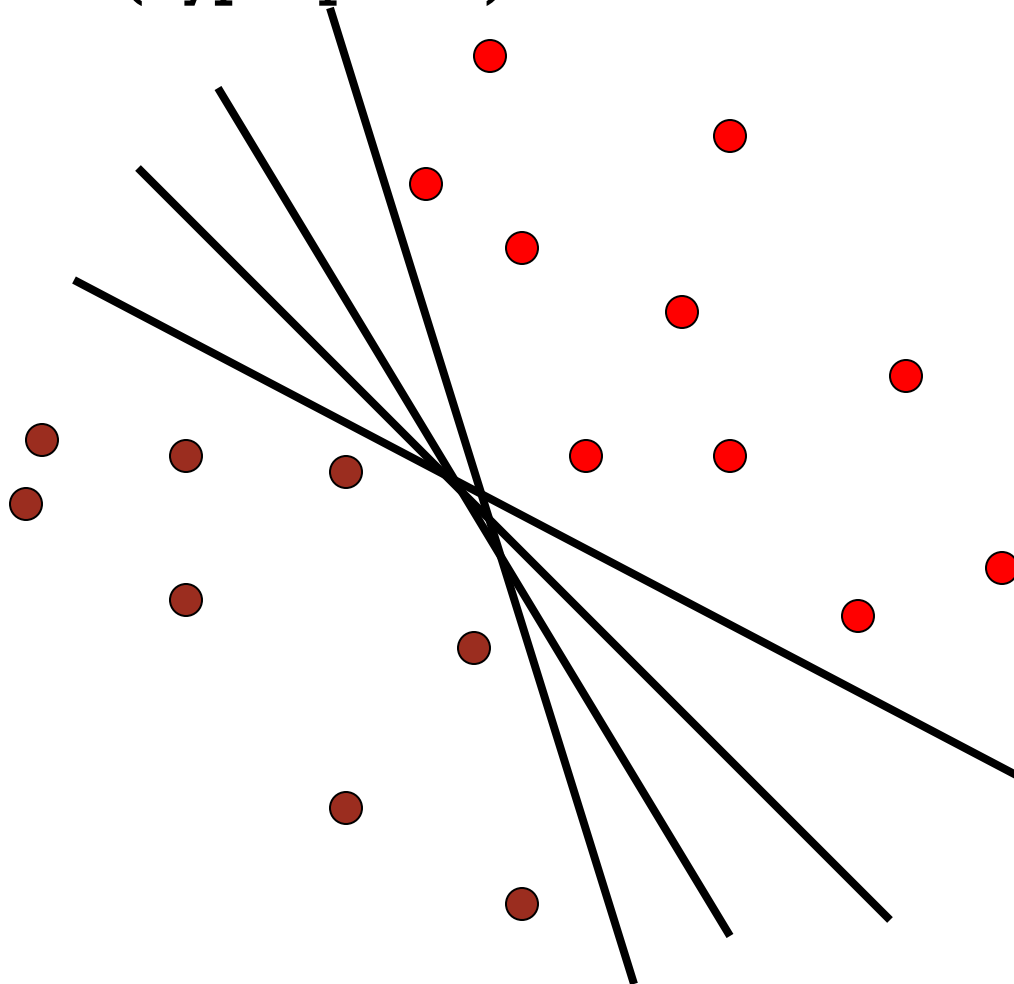
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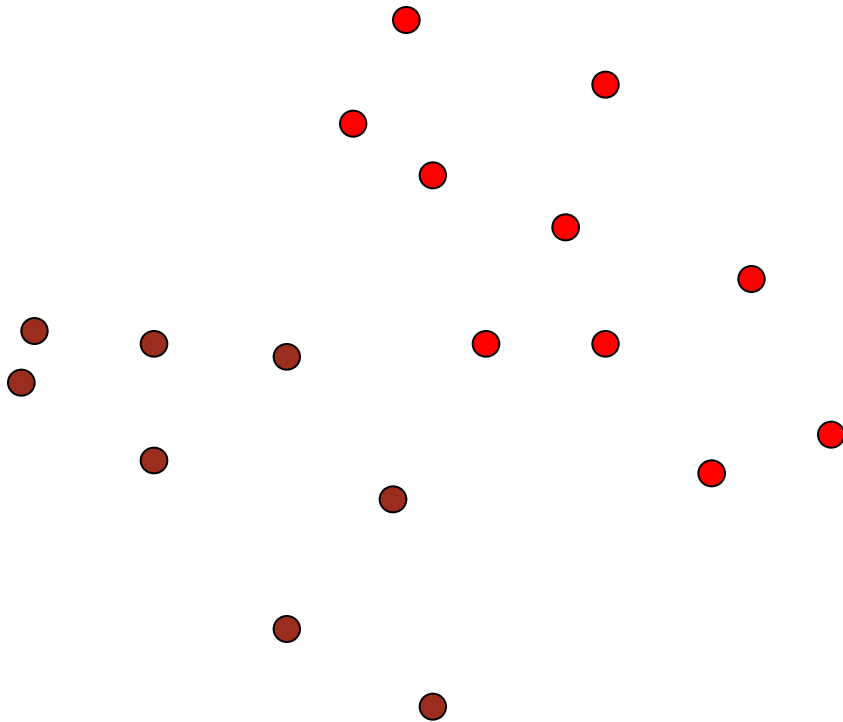


Which separator  
is best?



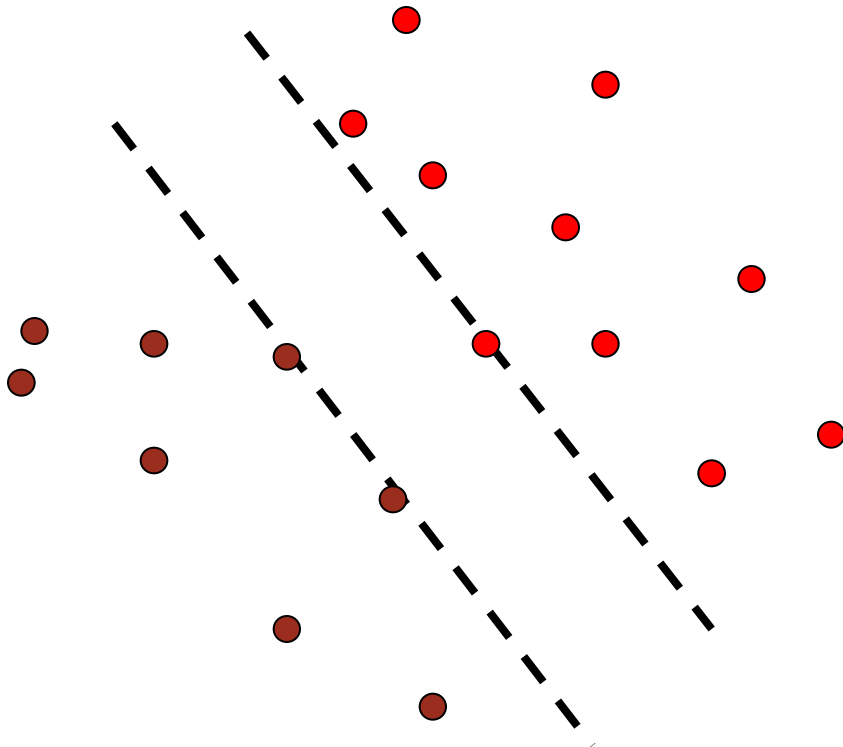
# SUPPORT VECTOR MACHINES

- Find hyperplane that maximizes the *margin* between the positive and negative examples



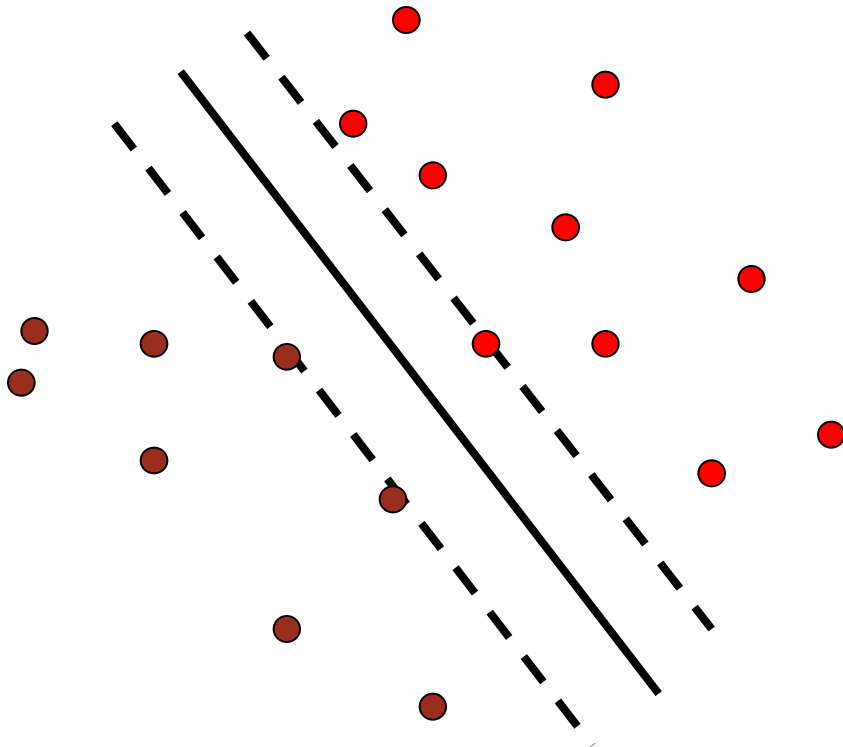
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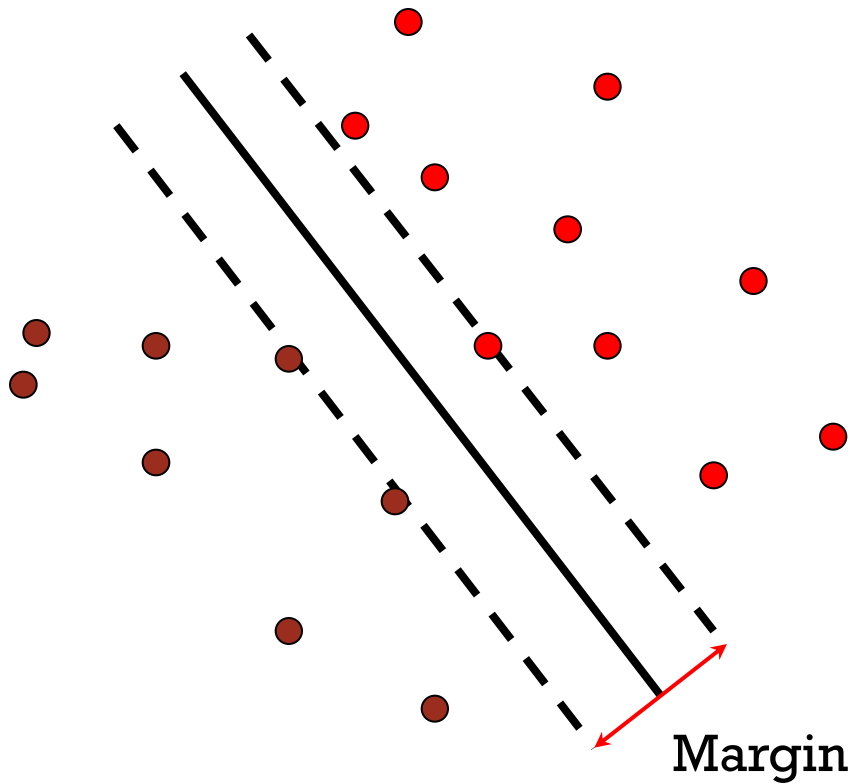
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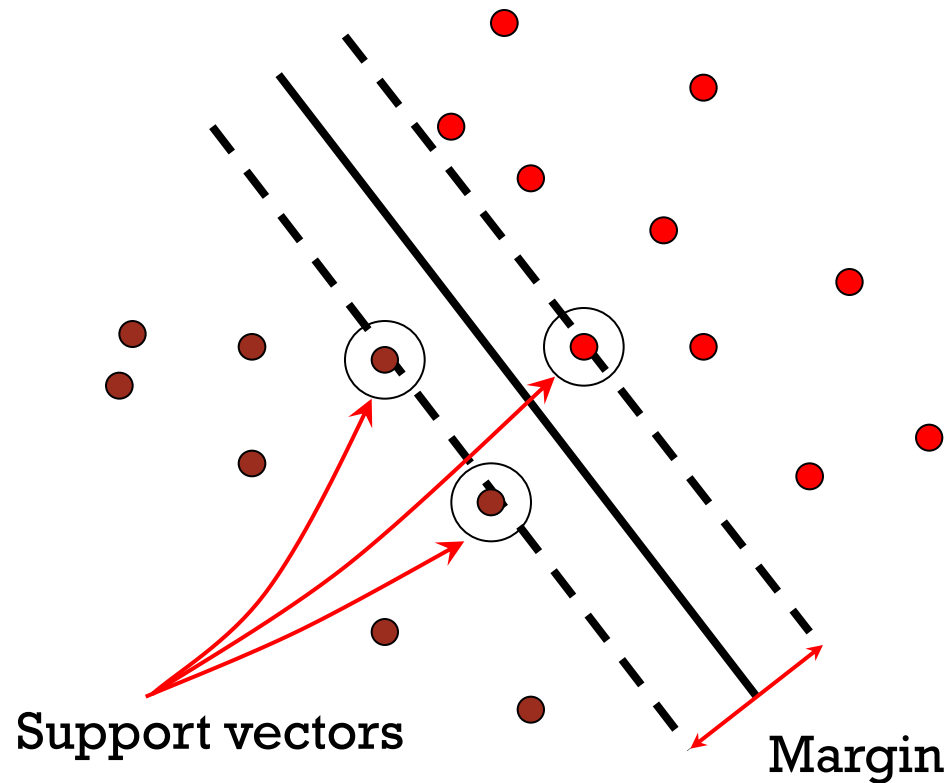
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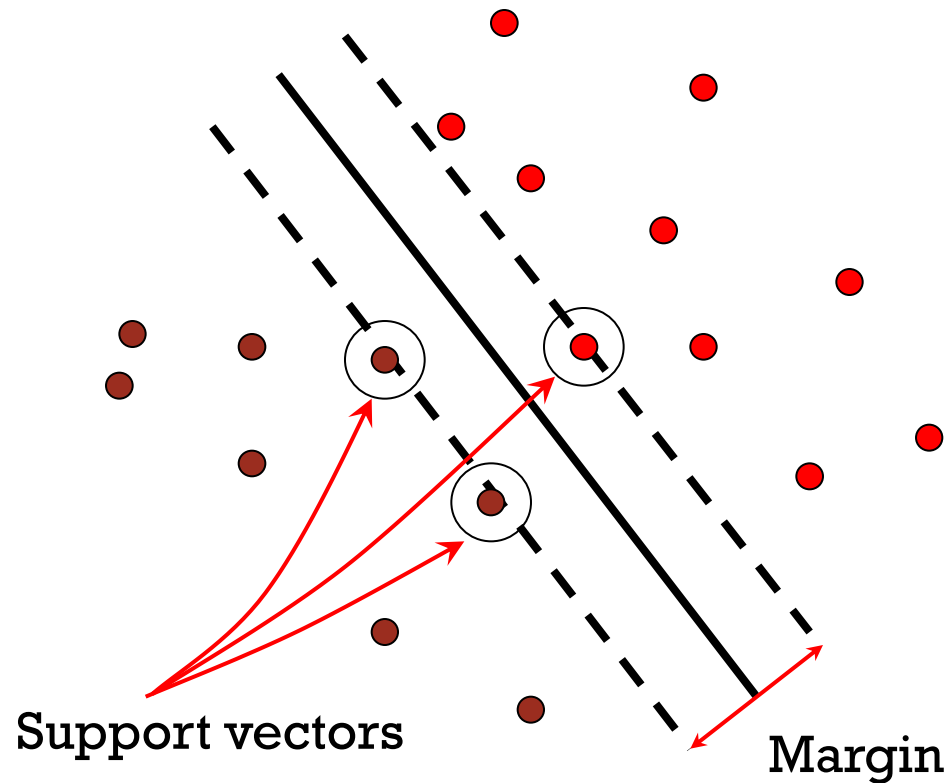
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# SUPPORT VECTOR MACHINES

- Find hyperplane that maximizes the *margin* between the positive and negative examples



$$\mathbf{x}_i \text{ positive } (y_i = 1): \quad \mathbf{x}_i \cdot \mathbf{w} + b \geq 1$$

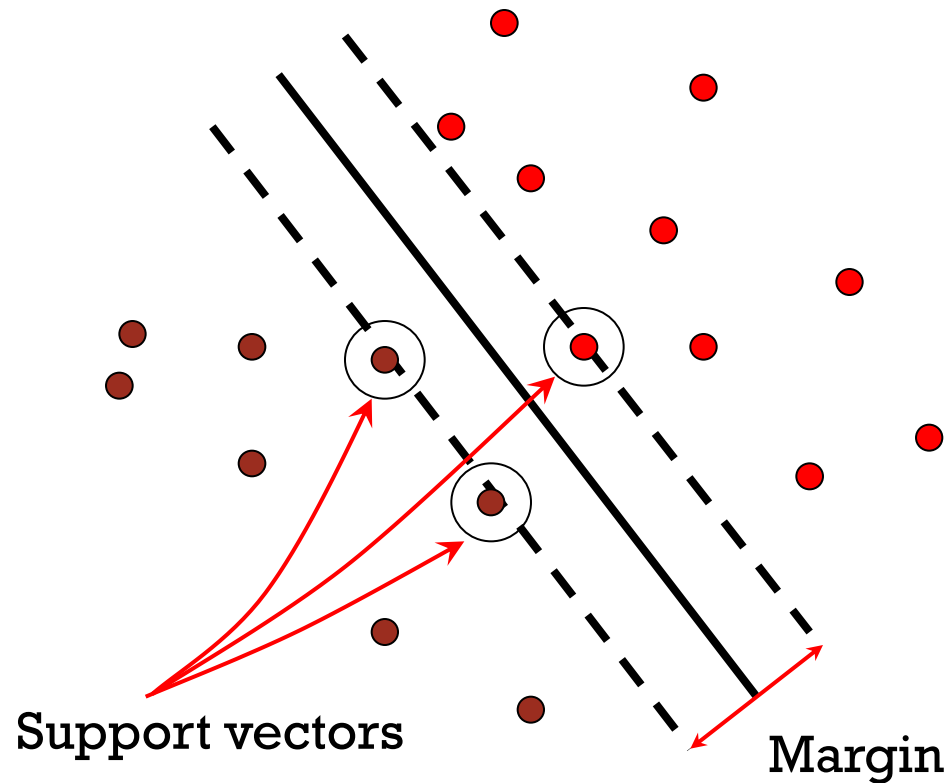
$$\mathbf{x}_i \text{ negative } (y_i = -1): \quad \mathbf{x}_i \cdot \mathbf{w} + b \leq -1$$





# SUPPORT VECTOR MACHINES

- Find hyperplane that maximizes the *margin* between the positive and negative examples



$$\mathbf{x}_i \text{ positive } (y_i = 1): \quad \mathbf{x}_i \cdot \mathbf{w} + b \geq 1$$

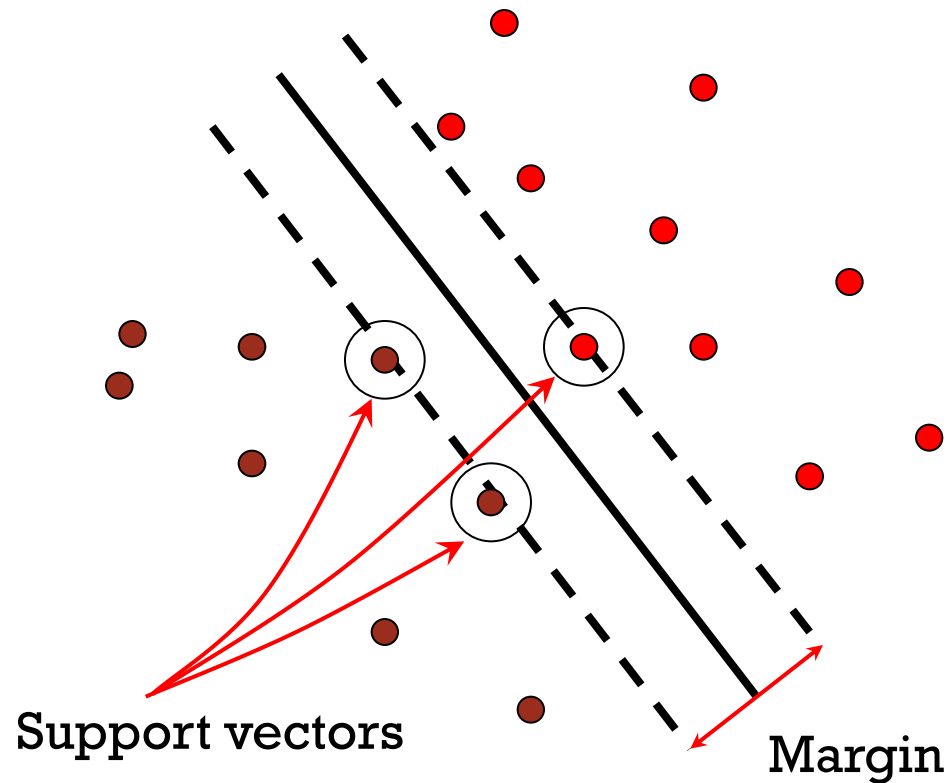
$$\mathbf{x}_i \text{ negative } (y_i = -1): \quad \mathbf{x}_i \cdot \mathbf{w} + b \leq -1$$

$$\text{For support vectors, } \mathbf{x}_i \cdot \mathbf{w} + b = \pm 1$$



# SUPPORT VECTOR MACHINES

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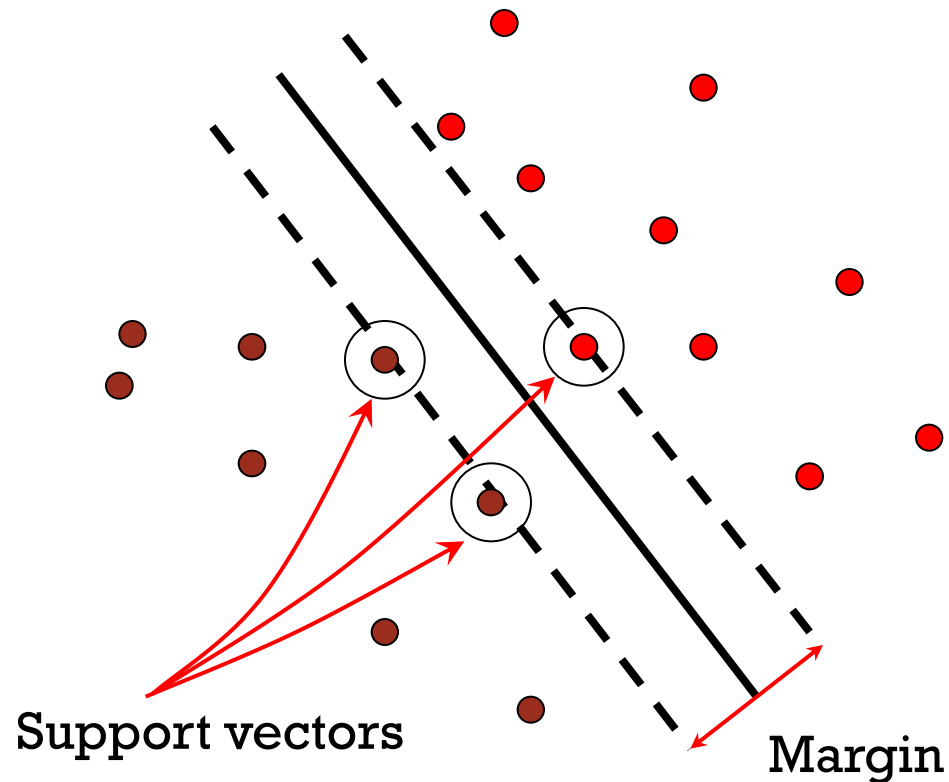
$$\text{For support vectors, } \mathbf{x}_i \cdot \mathbf{w} + b = \pm 1$$

$$\text{Distance between point and hyperplane: } \frac{|\mathbf{x}_i \cdot \mathbf{w} + b|}{\|\mathbf{w}\|}$$



# SUPPORT VECTOR MACHINES

- Find hyperplane that maximizes the *margin* between the positive and negative examples



$$\mathbf{x}_i \text{ positive } (y_i = 1): \quad \mathbf{x}_i \cdot \mathbf{w} + b \geq 1$$

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$$\text{For support vectors, } \mathbf{x}_i \cdot \mathbf{w} + b = \pm 1$$

$$\text{Distance between point and hyperplane: } \frac{|\mathbf{x}_i \cdot \mathbf{w} + b|}{\|\mathbf{w}\|}$$

$$\text{Therefore, the margin is } 2 / \|\mathbf{w}\|$$



# FINDING THE MAXIMUM MARGIN HYPERPLANE

1. Maximize margin  $2 / ||\mathbf{w}||$



# FINDING THE MAXIMUM MARGIN HYPERPLANE

1. Maximize margin  $2 / \|\mathbf{w}\|$
2. Correctly classify all training data:

$$\mathbf{x}_i \text{ positive } (y_i = 1): \quad \mathbf{x}_i \cdot \mathbf{w} + b \geq 1$$

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# FINDING THE MAXIMUM MARGIN HYPERPLANE

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- *Quadratic optimization problem:*

$$\min_{\mathbf{w}, b} \frac{1}{2} \|\mathbf{w}\|^2 \quad \text{subject to} \quad y_i (\mathbf{w} \cdot \mathbf{x}_i + b) \geq 1$$



# FINDING THE MAXIMUM MARGIN HYPERPLANE

- Solution:  $\mathbf{w} = \sum_i \alpha_i y_i \mathbf{x}_i$

learned weight      Support vector

- The weights  $\alpha_i$  are non-zero only at support vectors.



# FINDING THE MAXIMUM MARGIN HYPERPLANE

- Solution:  $\mathbf{w} = \sum_i \alpha_i y_i \mathbf{x}_i$   
 $b = y_i - \mathbf{w} \cdot \mathbf{x}_i$  (for any support vector)  
 $\mathbf{w} \cdot \mathbf{x} + b = \sum_i \alpha_i y_i \mathbf{x}_i \cdot \mathbf{x} + b$





# FINDING THE MAXIMUM MARGIN HYPERPLANE

- Solution:  $\mathbf{w} = \sum_i \alpha_i y_i \mathbf{x}_i$   
 $b = y_i - \mathbf{w} \cdot \mathbf{x}_i$  (for any support vector)

$$\mathbf{w} \cdot \mathbf{x} + b = \sum_i \alpha_i y_i \mathbf{x}_i \cdot \mathbf{x} + b$$

- Classification function:

$$f(x) = \text{sign}(\mathbf{w} \cdot \mathbf{x} + b) \quad \text{If } f(x) < 0, \text{ classify as negative,}$$

$$= \text{sign}\left(\sum_i \alpha_i y_i \mathbf{x}_i \cdot \mathbf{x} + b\right) \quad \text{if } f(x) > 0, \text{ classify as positive}$$

Dot product only!



# SVM PARAMETER LEARNING

- Separable data:  $\min_{\mathbf{w}, b} \frac{1}{2} \|\mathbf{w}\|^2$  subject to  $y_i (\mathbf{w} \cdot \mathbf{x}_i + b) \geq 1$

Maximize  
margin

Classify training data correctly



# SVM PARAMETER LEARNING

- Separable data:  $\min_{\mathbf{w}, b} \frac{1}{2} \|\mathbf{w}\|^2$  subject to  $y_i (\mathbf{w} \cdot \mathbf{x}_i + b) \geq 1$

Maximize  
margin

Classify training data correctly

- Non-separable data:

$$\min_{\mathbf{w}, b} \frac{1}{2} \|\mathbf{w}\|^2 + C \sum_{i=1}^n \max(0, 1 - y_i (\mathbf{w} \cdot \mathbf{x}_i + b))$$

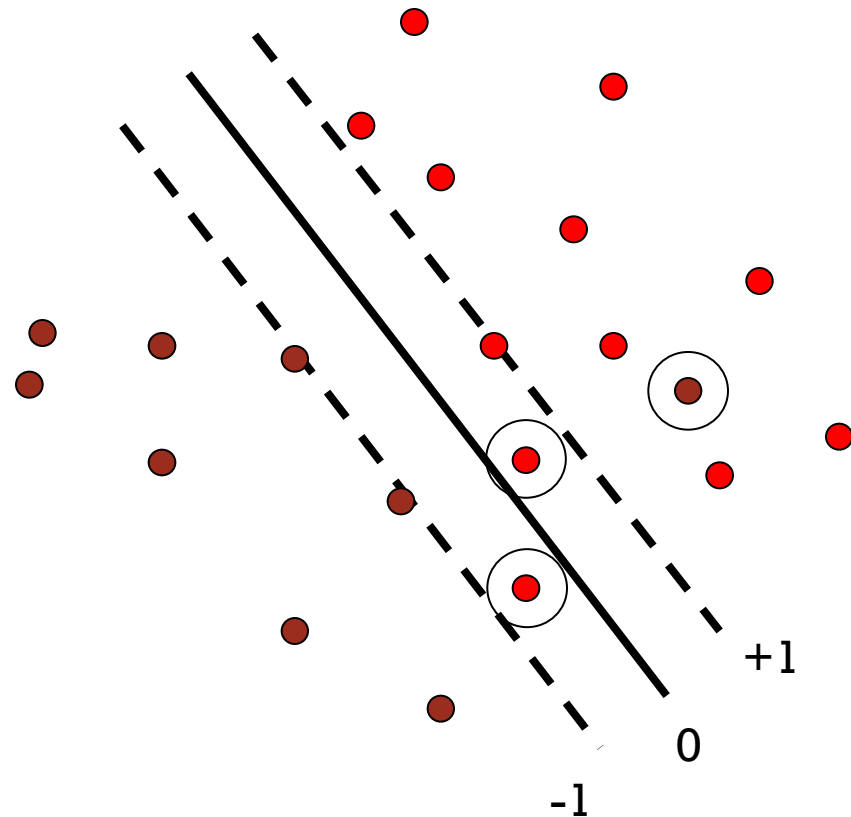
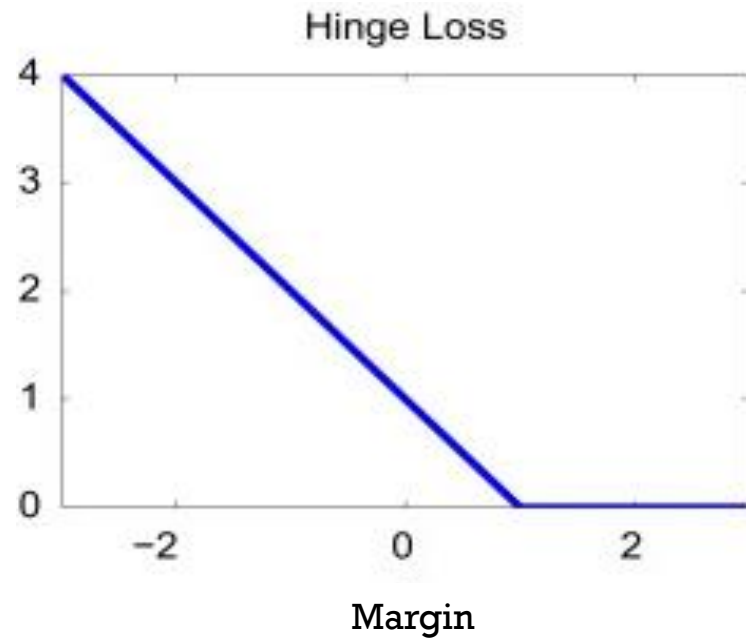
Maximize  
margin

Minimize classification mistakes



# SVM PARAMETER LEARNING

$$\min_{\mathbf{w}, b} \frac{1}{2} \|\mathbf{w}\|^2 + C \sum_{i=1}^n \max(0, 1 - y_i (\mathbf{w} \cdot \mathbf{x}_i + b))$$

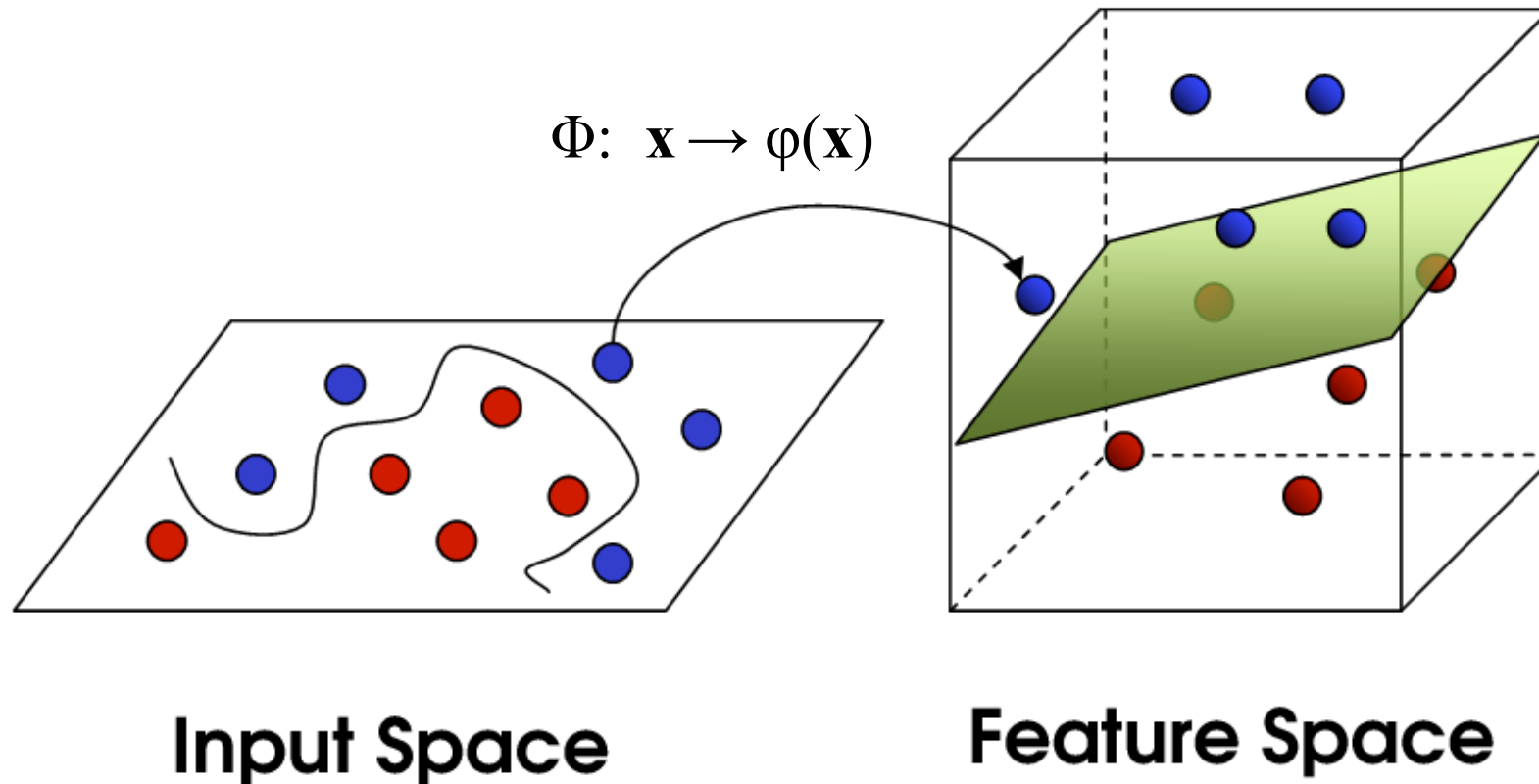


- Demo: <http://cs.stanford.edu/people/karpathy/svmjs/demo>



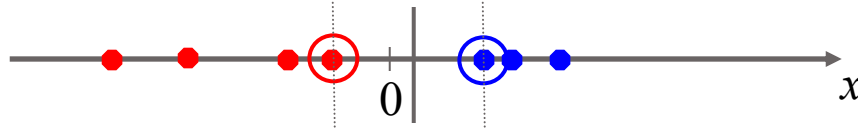
# NONLINEAR SVMs

- General idea: the original input space can always be mapped to some higher-dimensional feature space where the training set is separable

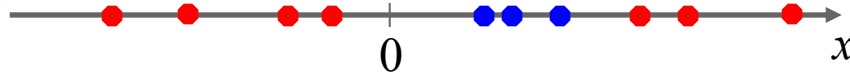


# NONLINEAR SVMs

- Linearly separable dataset in 1D:

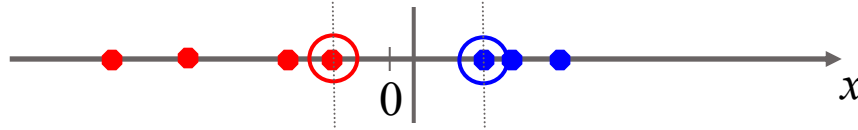


- Non-linearly separable dataset in 1D:

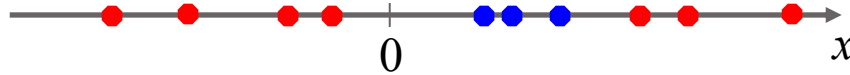


# NONLINEAR SVMs

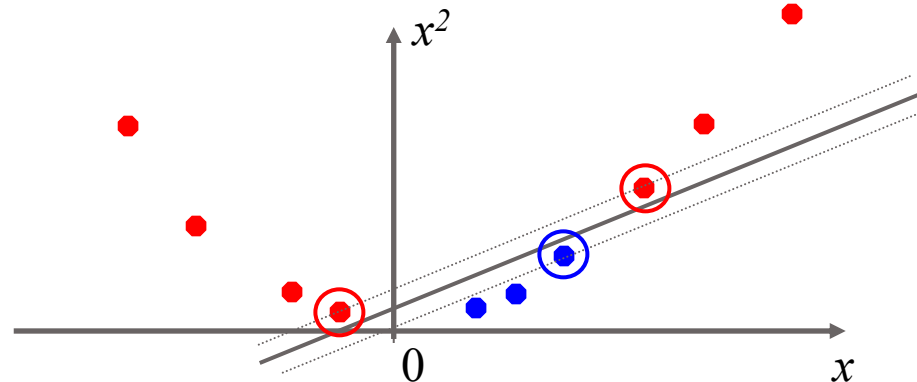
- Linearly separable dataset in 1D:



- Non-linearly separable dataset in 1D:



- We can map the data to a *higher-dimensional space*:



# THE KERNEL TRICK

- General idea: the original input space can always be mapped to some higher-dimensional feature space where the training set is separable
- **The kernel trick:** instead of explicitly computing the lifting transformation  $\phi(\mathbf{x})$ , define a kernel function  $K$  such that

$$K(\mathbf{x}, \mathbf{y}) = \phi(\mathbf{x}) \cdot \phi(\mathbf{y})$$





# THE KERNEL TRICK

- Linear SVM decision function:

$$\mathbf{w} \cdot \mathbf{x} + b = \sum_i \alpha_i y_i \mathbf{x}_i \cdot \mathbf{x} + b$$

learned  
weight

Support  
vector



# THE KERNEL TRICK

- Linear SVM decision function:

$$\mathbf{w} \cdot \mathbf{x} + b = \sum_i \alpha_i y_i \mathbf{x}_i \cdot \mathbf{x} + b$$

- Kernel SVM decision function:

$$\sum_i \alpha_i y_i \varphi(\mathbf{x}_i) \cdot \varphi(\mathbf{x}) + b = \sum_i \alpha_i y_i K(\mathbf{x}_i, \mathbf{x}) + b$$

- This gives a nonlinear decision boundary in the original feature space



# EXAMPLE

2-dimensional vectors  $\mathbf{x}=[x_1 \ x_2]$ ;

let  $K(\mathbf{x}_i, \mathbf{x}_j) = (1 + \mathbf{x}_i^T \mathbf{x}_j)^2$



# EXAMPLE

2-dimensional vectors  $\mathbf{x}=[x_1 \ x_2]$ ;

let  $K(\mathbf{x}_i, \mathbf{x}_j) = (1 + \mathbf{x}_i^T \mathbf{x}_j)^2$

Need to show that  $K(\mathbf{x}_i, \mathbf{x}_j) = \phi(\mathbf{x}_i)^T \phi(\mathbf{x}_j)$ :



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Need to show that  $K(\mathbf{x}_i, \mathbf{x}_j) = \phi(\mathbf{x}_i)^T \phi(\mathbf{x}_j)$ :

$$\begin{aligned} K(\mathbf{x}_i, \mathbf{x}_j) &= (1 + \mathbf{x}_i^T \mathbf{x}_j)^2, \\ &= 1 + x_{i1}^2 x_{j1}^2 + 2 x_{i1} x_{j1} x_{i2} x_{j2} + x_{i2}^2 x_{j2}^2 + 2 x_{i1} x_{j1} + 2 x_{i2} x_{j2} \end{aligned}$$



# EXAMPLE

2-dimensional vectors  $\mathbf{x}=[x_1 \ x_2]$ ;

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# EXAMPLE

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Need to show that  $K(\mathbf{x}_i, \mathbf{x}_j) = \boldsymbol{\varphi}(\mathbf{x}_i)^T \boldsymbol{\varphi}(\mathbf{x}_j)$ :

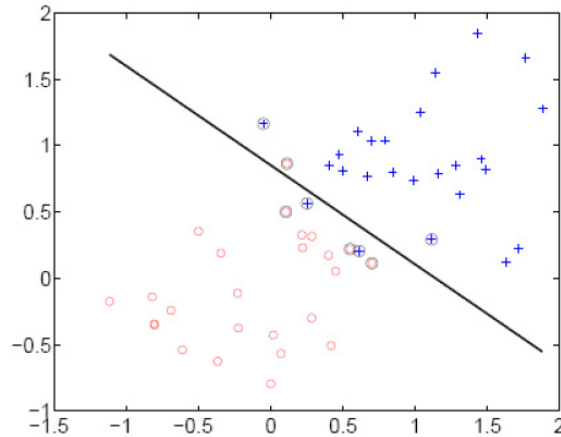
$$\begin{aligned} K(\mathbf{x}_i, \mathbf{x}_j) &= (1 + \mathbf{x}_i^T \mathbf{x}_j)^2, \\ &= 1 + x_{i1}^2 x_{j1}^2 + 2 x_{i1} x_{j1} x_{i2} x_{j2} + x_{i2}^2 x_{j2}^2 + 2 x_{i1} x_{j1} + 2 x_{i2} x_{j2} \\ &= [1 \ x_{i1}^2 \ \sqrt{2} x_{i1} x_{i2} \ x_{i2}^2 \ \sqrt{2} x_{i1} \ \sqrt{2} x_{i2}]^T \\ &\quad [1 \ x_{j1}^2 \ \sqrt{2} x_{j1} x_{j2} \ x_{j2}^2 \ \sqrt{2} x_{j1} \ \sqrt{2} x_{j2}] \\ &= \boldsymbol{\varphi}(\mathbf{x}_i)^T \boldsymbol{\varphi}(\mathbf{x}_j), \end{aligned}$$

where  $\boldsymbol{\varphi}(\mathbf{x}) = [1 \ x_1^2 \ \sqrt{2} x_1 x_2 \ x_2^2 \ \sqrt{2} x_1 \ \sqrt{2} x_2]$

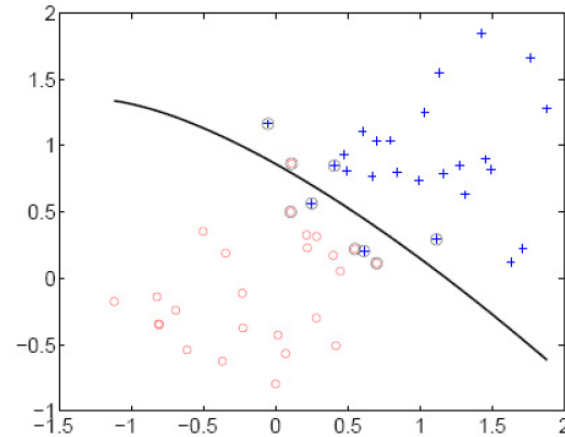


# POLYNOMIAL KERNEL

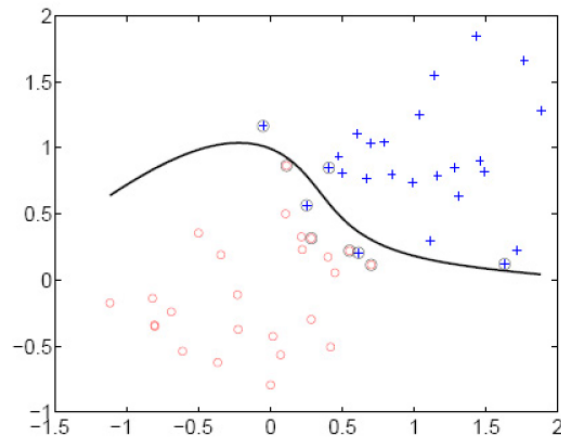
$$K(\mathbf{x}, \mathbf{y}) = (c + \mathbf{x} \cdot \mathbf{y})^d$$



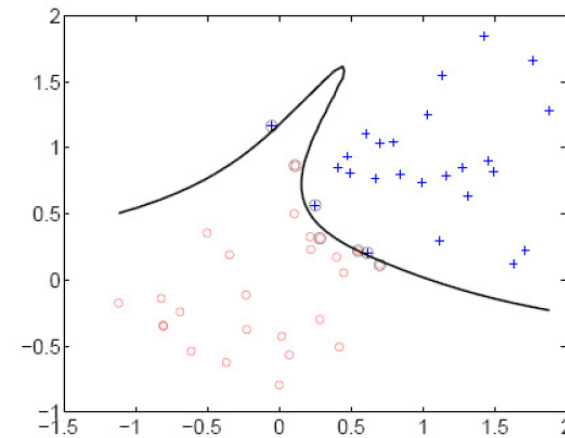
linear



2<sup>nd</sup> order polynomial



4<sup>th</sup> order polynomial



8<sup>th</sup> order polynomial

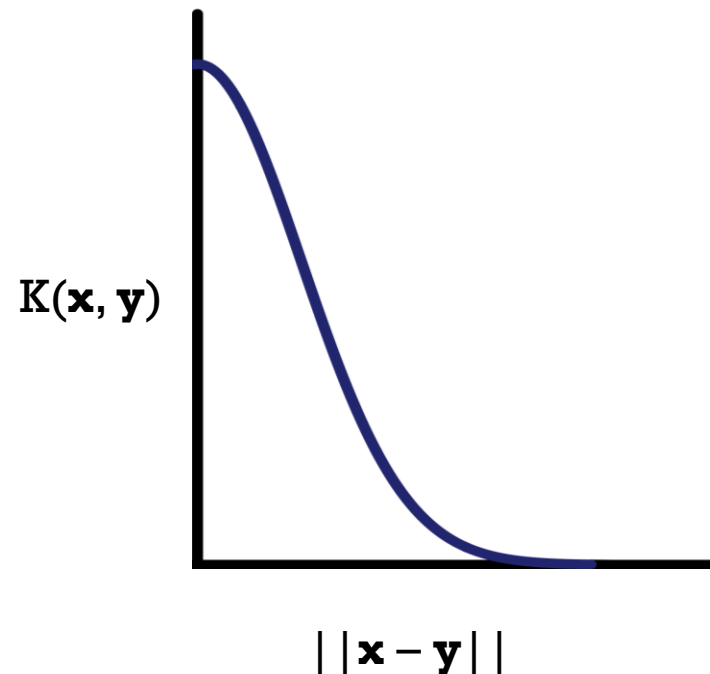




# GAUSSIAN KERNEL

- Also known as the radial basis function (RBF) kernel:

$$K(\mathbf{x}, \mathbf{y}) = \exp\left(-\frac{1}{\sigma^2} \|\mathbf{x} - \mathbf{y}\|^2\right)$$



# KERNELS FOR HISTOGRAMS

- Histogram intersection:

$$K(h_1, h_2) = \sum_{i=1}^N \min(h_1(i), h_2(i))$$

- Square root (Bhattacharyya kernel):

$$K(h_1, h_2) = \sum_{i=1}^N \sqrt{h_1(i)h_2(i)}$$



# SVMS: PROS AND CONS

- Pros

- Kernel-based framework is **very powerful**, flexible
- Training is convex optimization, **globally optimal solution** can be found
- SVMs work very well in practice, even with **very small training** sample sizes

- Cons

- No “direct” **multi-class SVM**, must combine two-class SVMs (e.g., with one-vs-others)
- **Computation, memory** (esp. for nonlinear SVMs)



# MULTICLASS SUPPORT VECTOR MACHINE LOSS

- $i^{th}$  example: image  $x_i$  and the label  $y_i$
- Score for the  $j^{th}$  class:  $s_j = f(x_i, W)_j$



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Hinge Loss

Margin



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**Problem:  $W$  is not necessarily unique**



# MULTICLASS SUPPORT VECTOR MACHINE LOSS

- Regularization Penalty:

$$R(W) = \sum_k \sum_l W_{k,l}^2$$



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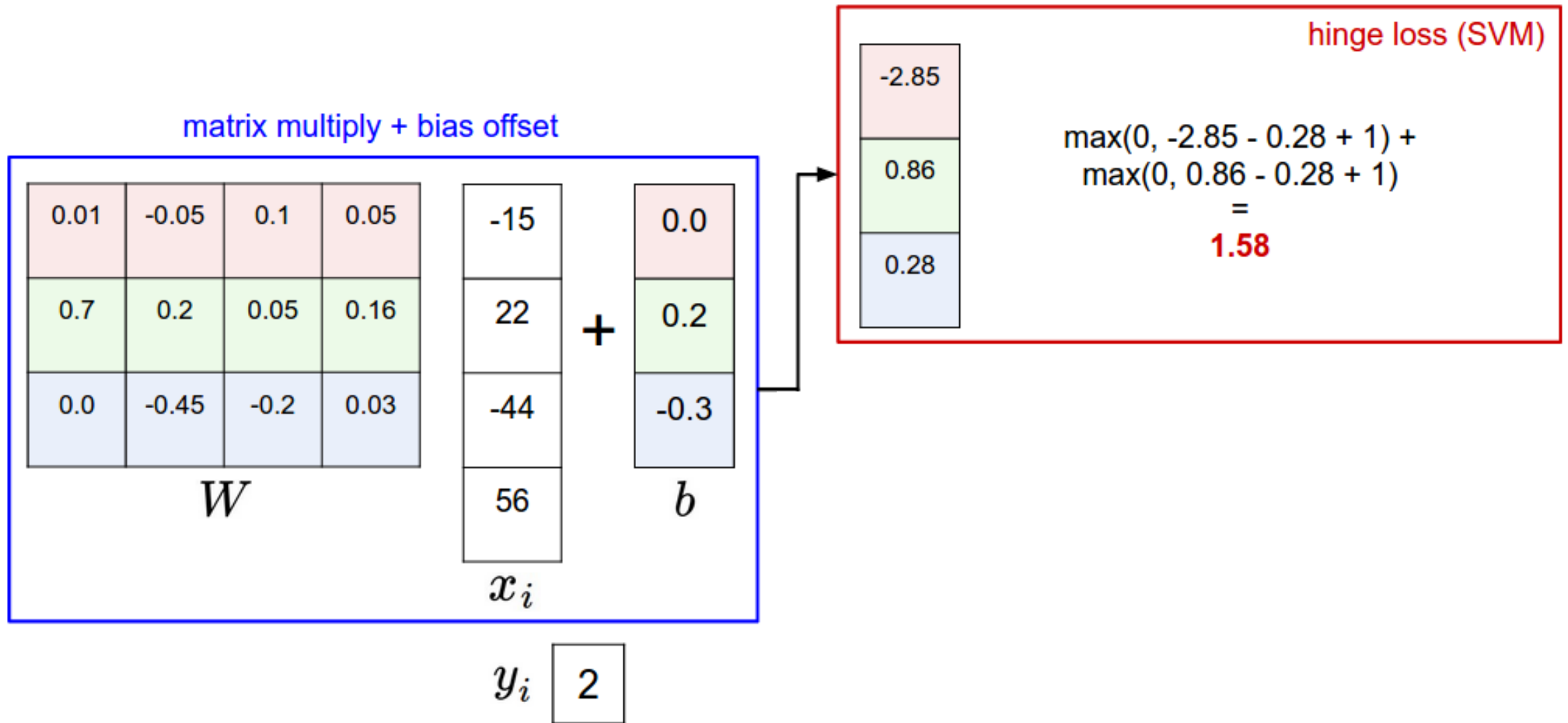
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$$L = \frac{1}{N} \sum_i \sum_{j \neq y_i} [\max(0, f(x_i; W)_j - f(x_i; W)_{y_i} + \Delta)] + \lambda \sum_k \sum_l W_{k,l}^2$$



# HINGE LOSS



# SOFTMAX CLASSIFIER

- Interprets the class scores as the unnormalized log probabilities for each class and replace the *hinge loss* with a **cross-entropy loss** that has the form:

$$L_i = -\log \left( \frac{e^{s_{y_i}}}{\sum_j e^{s_j}} \right) = -s_{y_i} + \log \sum_j e^{s_j}$$



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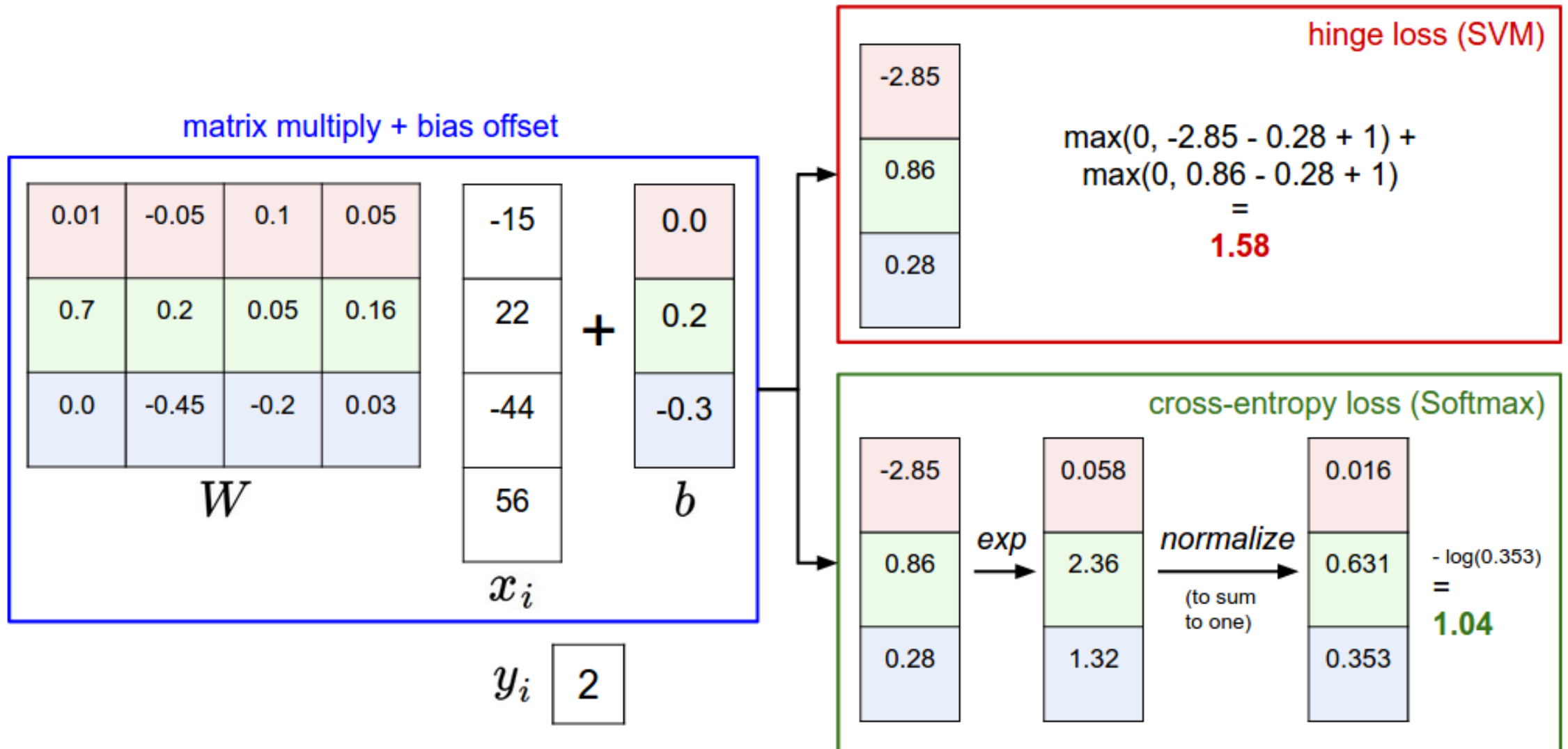
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- Softmax Loss:

$$L = \underbrace{\frac{1}{N} \sum_i L_i}_{\text{data loss}} + \underbrace{\lambda R(W)}_{\text{regularization loss}}$$



# HINGE VS CROSS-ENTROPY LOSS



# ACKNOWLEDGEMENT

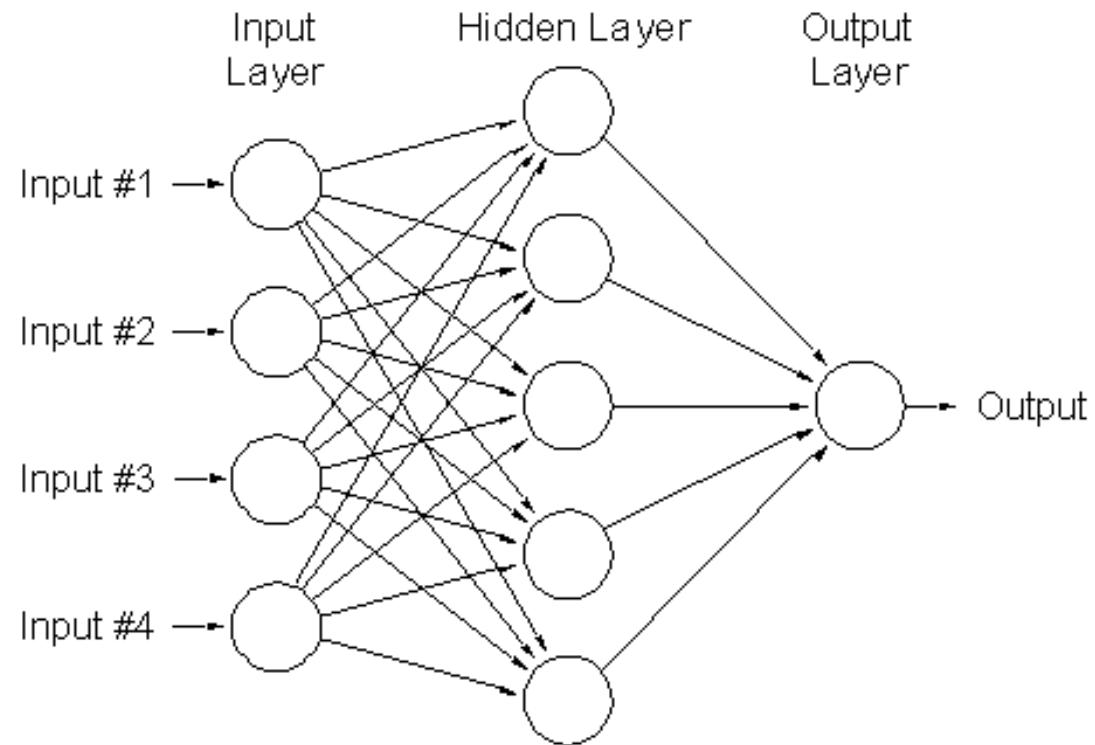
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- Introduction to Deep Learning, University of Illinois at Urbana-Champaign
- Introduction to Deep Learning, Carnegie Mellon University
- Natural Language Processing with Deep Learning, Stanford University
- And Many More Publicly Available Resources .....



# NEXT LECTURE

## Neural Networks



# Questions?

